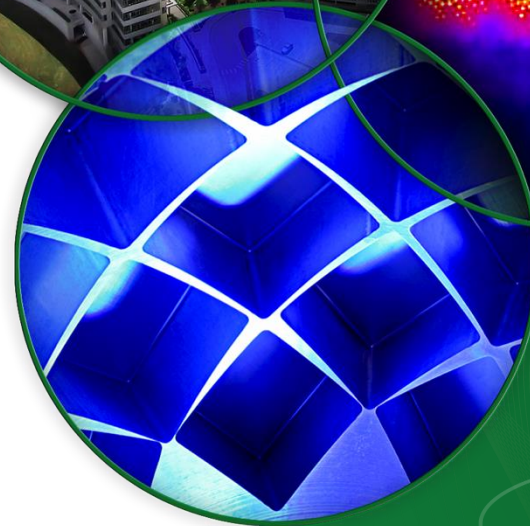
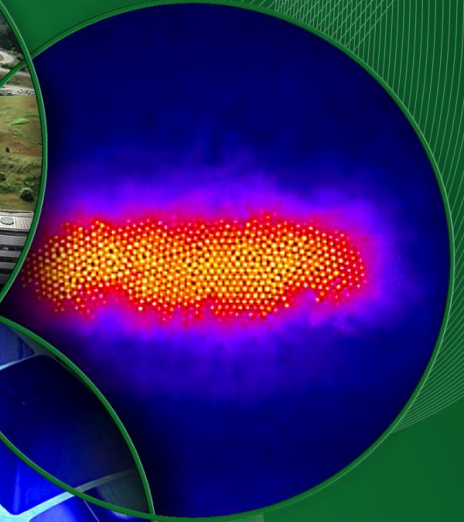


# Advances in High Voltage Converter Modulator and Performance

Presented at the  
**Accelerator Advisory  
Committee Review**

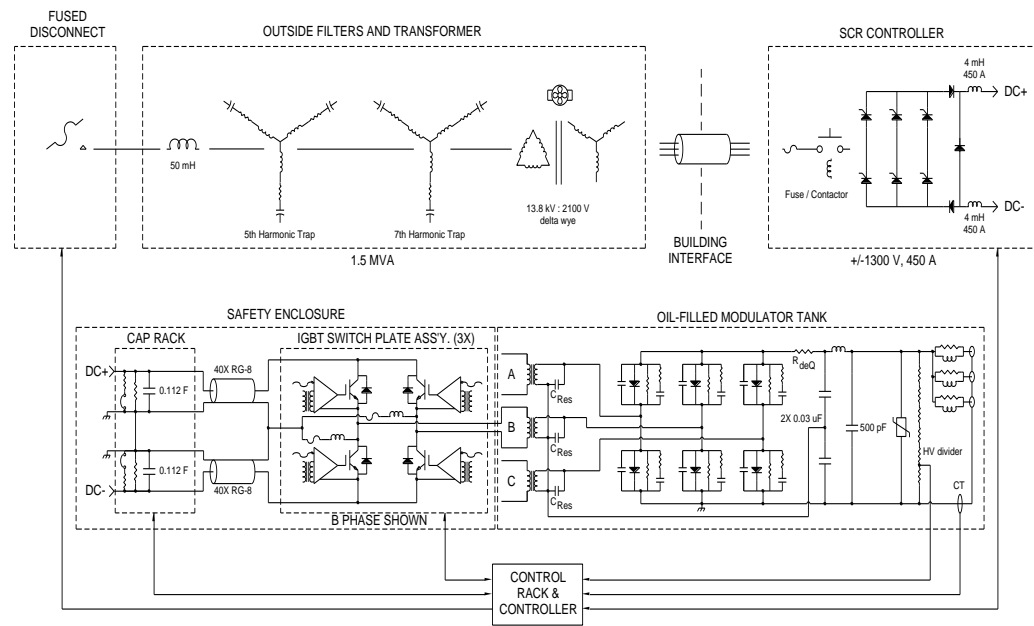
**David E. Anderson, HV, PP & MS  
Manager, Research Accelerator  
Division**

March 2015

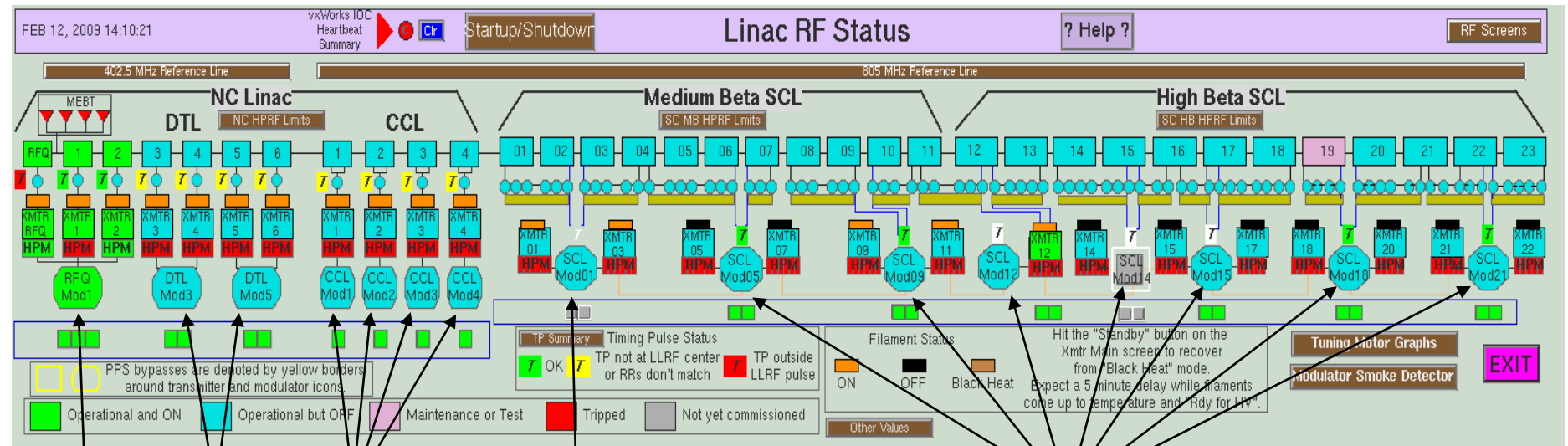


# Modulators provide pulsed power to high power RF klystrons using 20 kHz switching with IGBTs

- Provides up to 135 kV, 1.35 ms pulses at 60 Hz to amplify RF to 5 MW
- 3 phases employed to increase output ripple frequency
  - Minimizes output filter requirements
  - Minimizes fault energy available to klystron
- Powers multiple klystrons up to 11 MW peak power
- Currently there is up to a 5% pulse droop operating in open-loop



# 15 Modulators in 3 different configurations power 92 klystrons to support operation of the Linac



115 kV    125 kV    ≤135 kV

DTL (8.5-10.6 MW peak)

CCL (8.4-9.1 MW peak)

71 kV    75 kV

SCL (8.0-8.8 MW peak)

- 15 modulators: 3 - DTL, 4 - CCL, 8 – SCL (1 added 2008)
- Multiple HVCM/Klystron Configurations
- 690,000 combined operational hours on all modulators

## 2 additional modulators plus a partial system are available to support modulator development and testing activities



### RFTF HVCM

- NCL variant of HVCM
- Primarily to support RF- and cryomodule testing, ISTF (new RFQ)
- Secondary mission is NCL HVCM work
  - Extended run testing



### Open frame test stand

- Prototype efforts
  - Alternate topology
  - Laminated bus
- Affords flexibility and more extensive instrumentation @ limited power levels



### HEBT HVCM

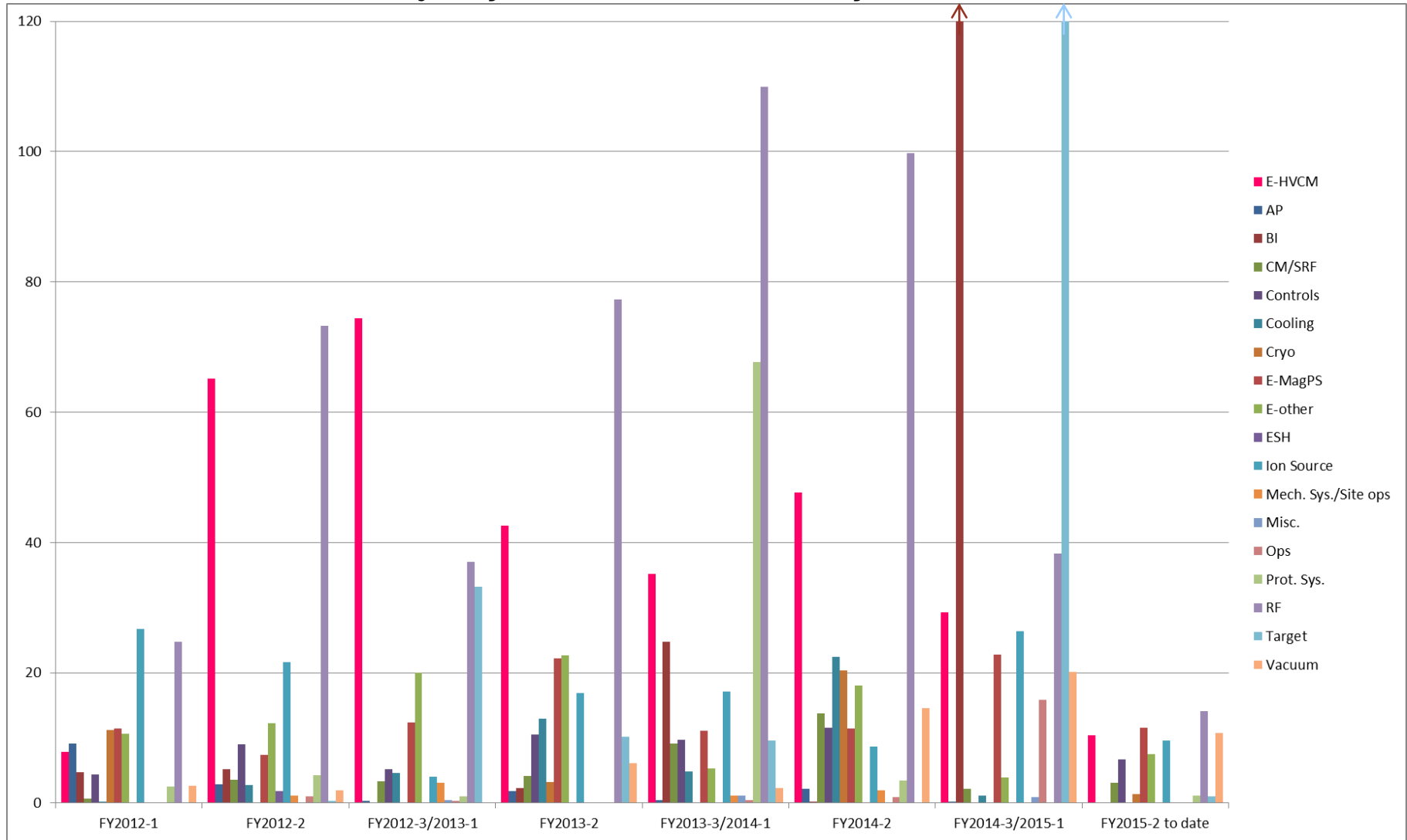
- SCL variant of HVCM STS-rated beam stick load
- Dedicated mission is to support HVCM testing
- Most development work is initiated here

### Single Phase Test Stand (not shown)

- Useful to test IGBT assemblies for matched timing on all 4 IGBTs and pre-qualification of spares

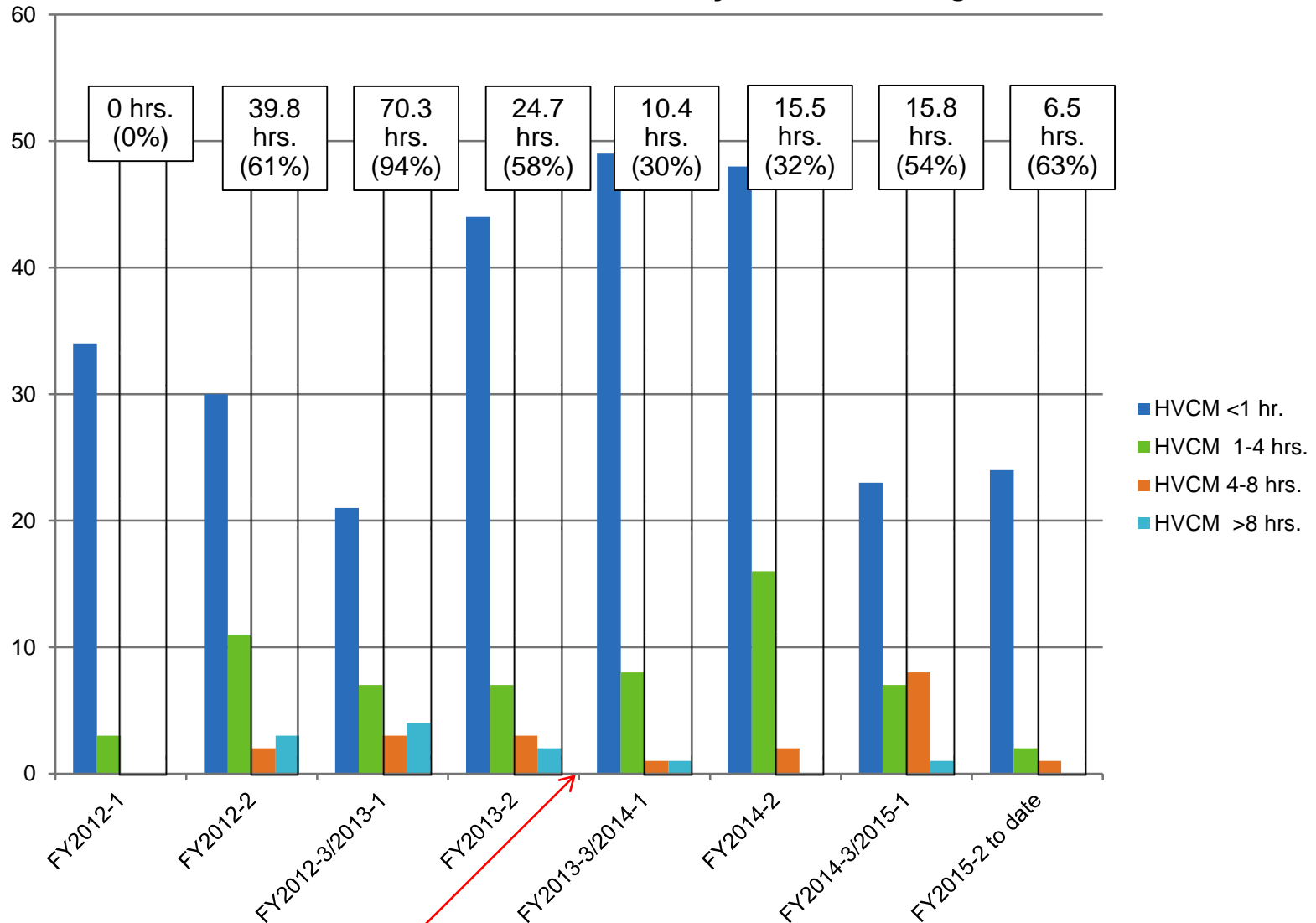
# The HVCM systems continue to contribute to accelerator downtime but show improvement

## SNS Major System Downtime Hours by Run Period



# In spite of multiple “nuisance” trips, the HVCM systems’ downtimes still dominated by >4 hour duration events

## HVCM Number of Events by Duration Range



Initiated 12-18 month boost capacitor replacement campaign

# Analysis of major failures by major component & subsystem is critical to improving overall system availability

Downtime Hours	FY2012-2		FY2012-3/ FY2013-1		FY2013-2		FY2013-3/ FY2014-1		FY2014-2		FY2014-3/ FY2015-1		Σ Hours
Scheduled Beam Hours	3130		1868		3353		2789		3331		3105		17.576
Fault Type	BT	nBT*	BT	nBT*	BT	nBT*	BT	nBT*	BT	nBT*	BT	nBT*	
Boost Capacitor	29.7	7.2	8.9	3.5	20.2	5.5	10.4	-	-	-	-	-	85.4
IGBT/driver	0	5	-	-	-	-	-	-	-	-	0	14.6	19.6
SCR Hardware	10.1	12.7	-	-	-	-	-	-	-	-	7.2	12.9	42.9
Controller / PLC	-	-	23.4	3.5	-	-	0	6.5	8.2	0	-	-	41.6
Mod. Tank	-	-	23.9	0.9	-	-	-	-	-	-	-	-	24.8
SCR Controls	-	-	3.0	0	-	-	-	-	7.3	0	-	-	10.3
Control Cables	-	-	4.0	0	0	6.0	-	-	-	-	-	-	10.0
Water Panel	-	-	0	8.0	-	-	-	-	-	-	-	-	8.0
Ctrl. Electronics	-	-	-	-	4.5	0	-	-	-	-	0	10.1	14.6
Oil Pump	-	-	7.1	0	-	-	-	-	-	-	8.6	0	15.8
Σ	39.8	24.9	70.3	15.9	24.7	11.5	10.4	6.5	15.5	0	15.8	37.6	273 (1.5%)

\*non-beam time hours

Initiated 12-18 month boost capacitor replacement campaign

# Consolidation of spares inventory should aid in reducing MTTR, improve reliability tracking

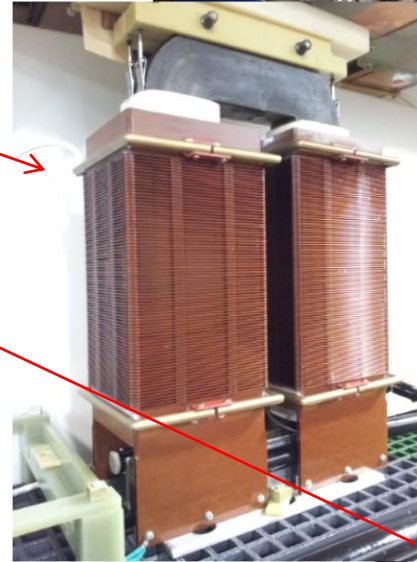
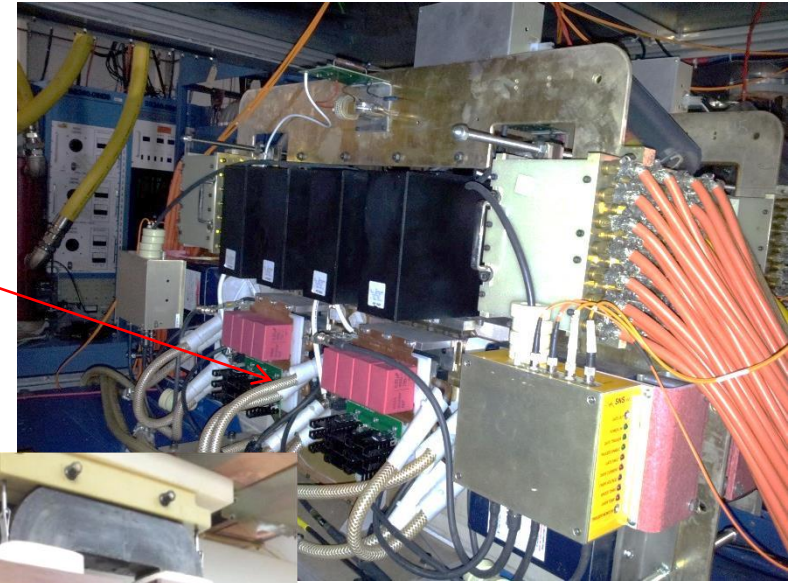


- Effort currently underway
- Combined with enhanced barcoding and work control processes with DataStream under development
- Additional troubleshooting tools available with new controller (more later)



# Significant upgrades have been performed on the HVCM systems in the past

- IGBT Switch Plates
  - Replace bypass capacitors w/ metallized film units
  - Gate drivers (installed 11/15 units)
  - Snubbers (installed 5/15 units)
  - Thorough pre-installation testing
- Transformer and choke winding redesign
- Comprehensive Capacitor Replacement
  - In tank units
  - Switch plate bypass units
- Reduced SCL klystron loading with additional modulator
- Better preventative maintenance plans in place
- Extensive minor improvements



## A past discovery of transformer failures mitigated but subsequent problem discovered

- A combination of materials selection, winding placement, corona ring placement & possibly temperature cause the problem
- Mitigation implemented
  - Moved inner winding layer away from surface of coil form
  - Increased corona ring dimension
  - Eliminated potential trapped air sites
- No additional failures at top of winding

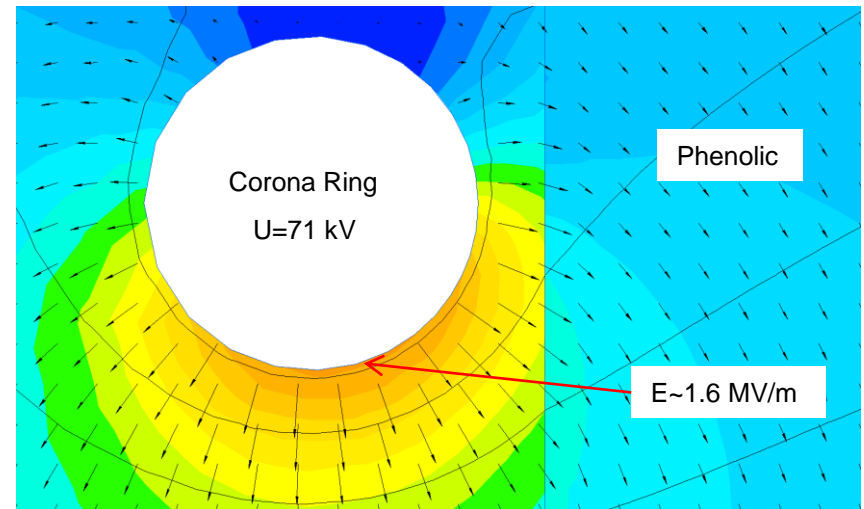
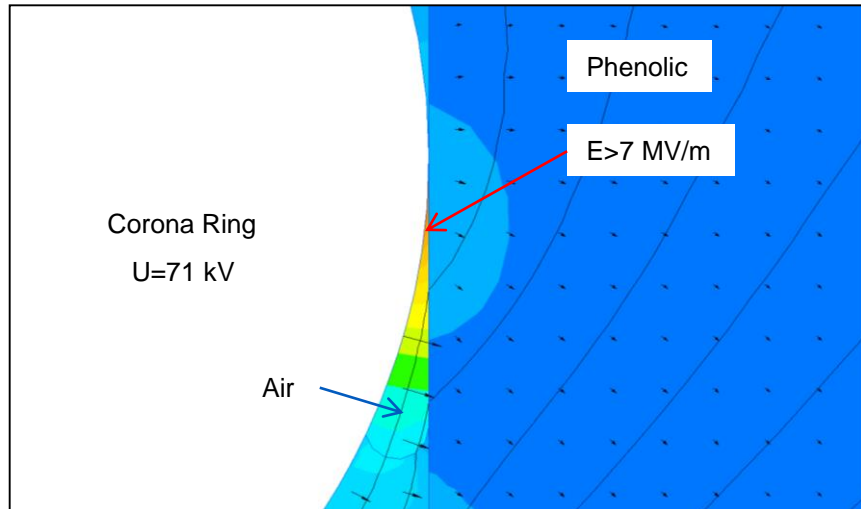
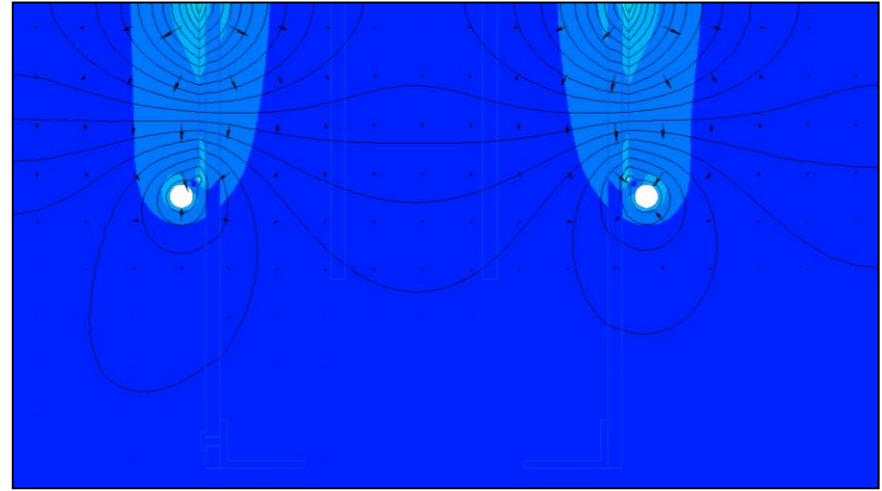
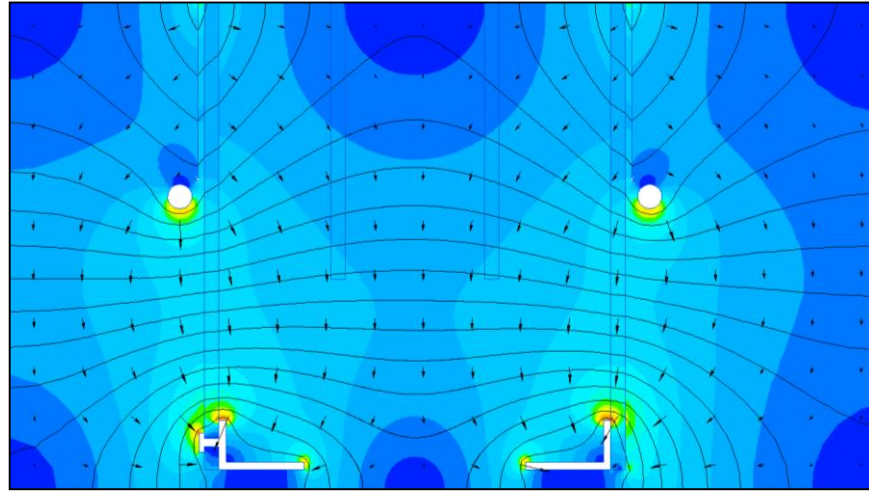
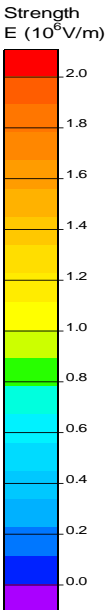


- Subsequent faults at bottom of winding assembly
- 2 instances of failures in NCL HVCMs
- Used field simulation to determine cause
- Failure in G-10 laminations leads to an alternate choice of material

# Resolution of the lower winding failure is summarized in FEA results

## Al Secondary Angle

## PVC Secondary Angle



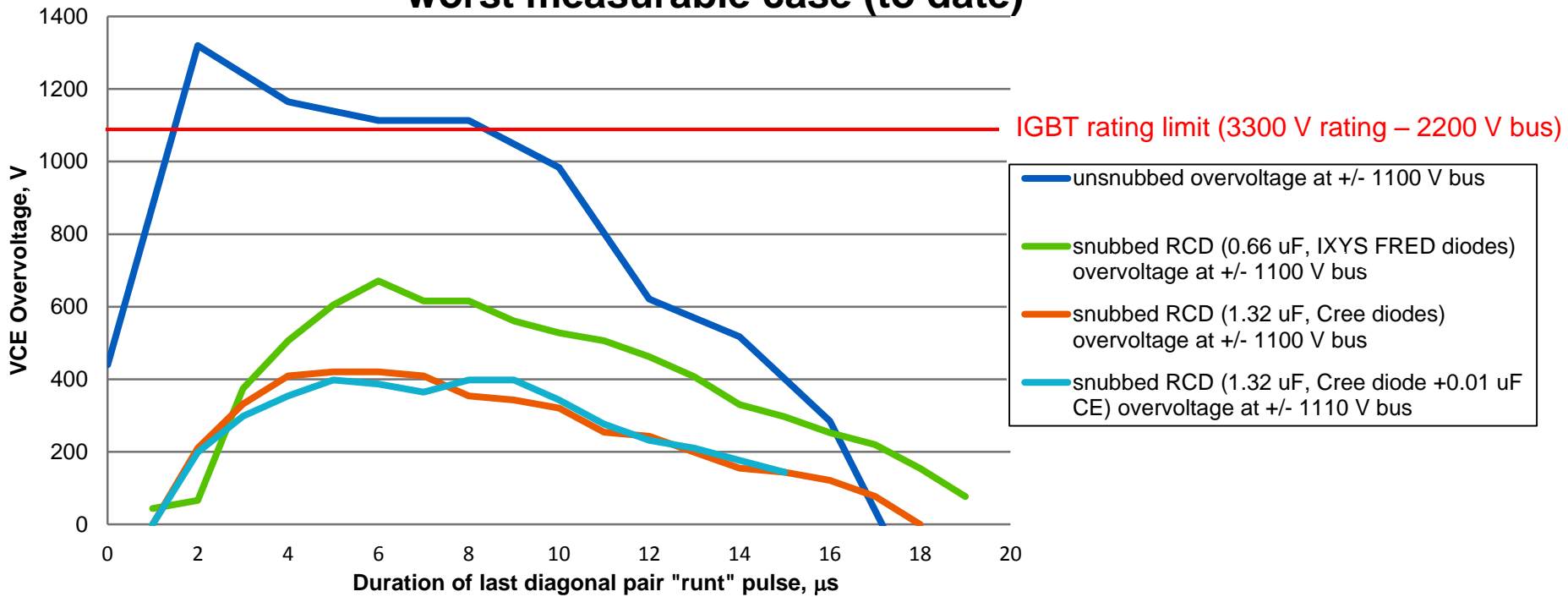
# A key vulnerability to continuing reliable operation are the NCL HVCM boost capacitors

- CSI capacitors continued to exhibit corona breakdown, failure of case at plastic welds, were eliminated from operation Summer 2013
- Condenser Products capacitors have proven more reliable but still have exhibited some problems
  - Case failure / small leaks
    - Weld and material tested to 65°C
    - Possible chemical interaction with FR3?
      - Cargill says not based on their analysis
- NWL capacitors currently under evaluation in RFTF
- Current strategy is to replace every 12-18 months proactively
  - Hardware costs ~\$50k
  - Effort ~2 days each × 7 units (~2.5 weeks!)
- Recently saw evidence of small case leaks on CSI capacitor in SCL HVCMs (lower voltage operation)



# Adding IGBT snubbers permits higher voltage operation, reliable higher current IGBT operation & eliminates fault over-voltage problem

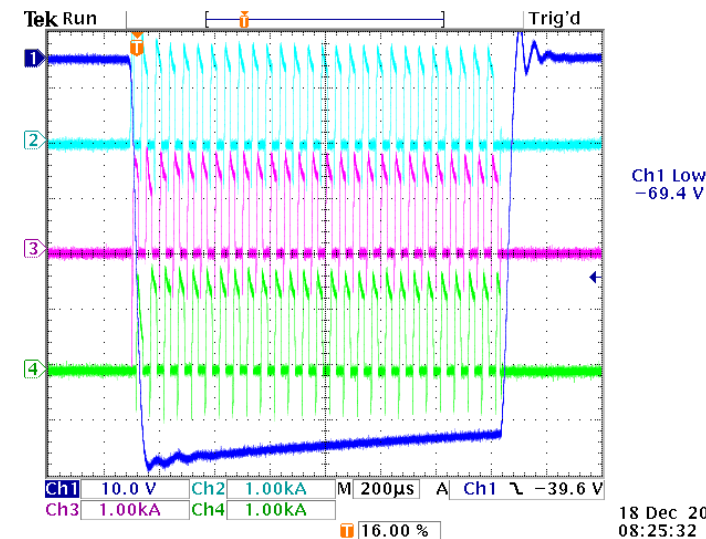
## End Of Pulse IGBT Over Voltage at +/- 1100 V bus Operation, worst measurable case (to date)



- Installed on 5 of 15 operational modulators, 2 test stands
- Combined >5000 operational hours w/ no issues
- Necessary for reliable pulse flattening and improved IGBT reliability

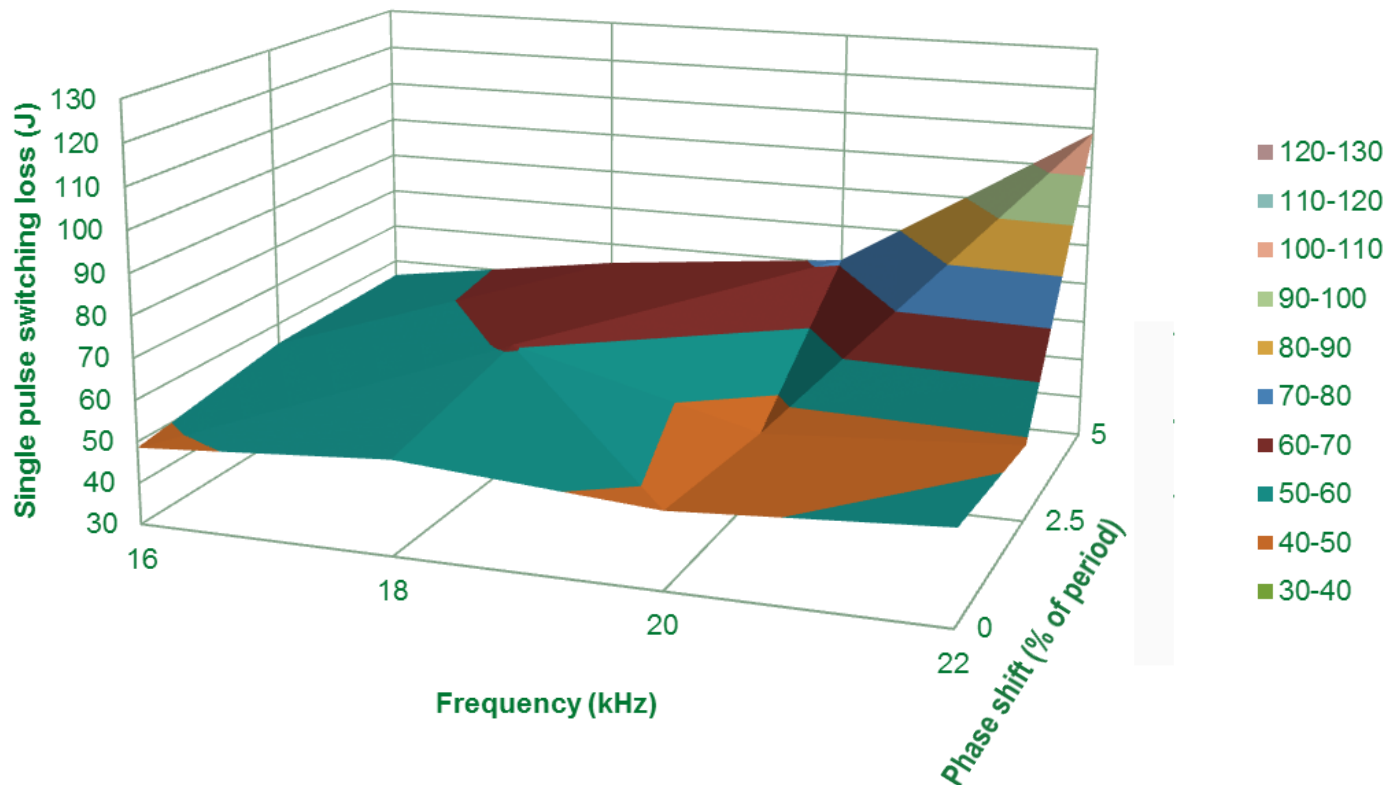
# Necessary steps to achieve reliable 1.4 MW operation, provide additional LLRF control margin and ultimately to support 2<sup>nd</sup> Target Station are under development

- Currently, klystrons are at saturation at the end of the pulse with no remaining control margin due to cap bank droop in open-loop
- Increasing klystron voltage with no additional changes will significantly degrade HVCM reliability
- Upgrades of cooling system underway
  - Provide higher component reliability
  - Reduce MTTR of key subsystems
- Pulse flattening for improved LLRF control margin demonstrated but without RF loop closed
  - Frequency modulation
  - Phase shift modulation
- Transitioning to even higher power presents other unique challenges
  - The current circuit topology creates excessive stresses on components
  - Switching losses in the IGBTs will significantly degrade their reliability
- Voltage ripple poor with current system



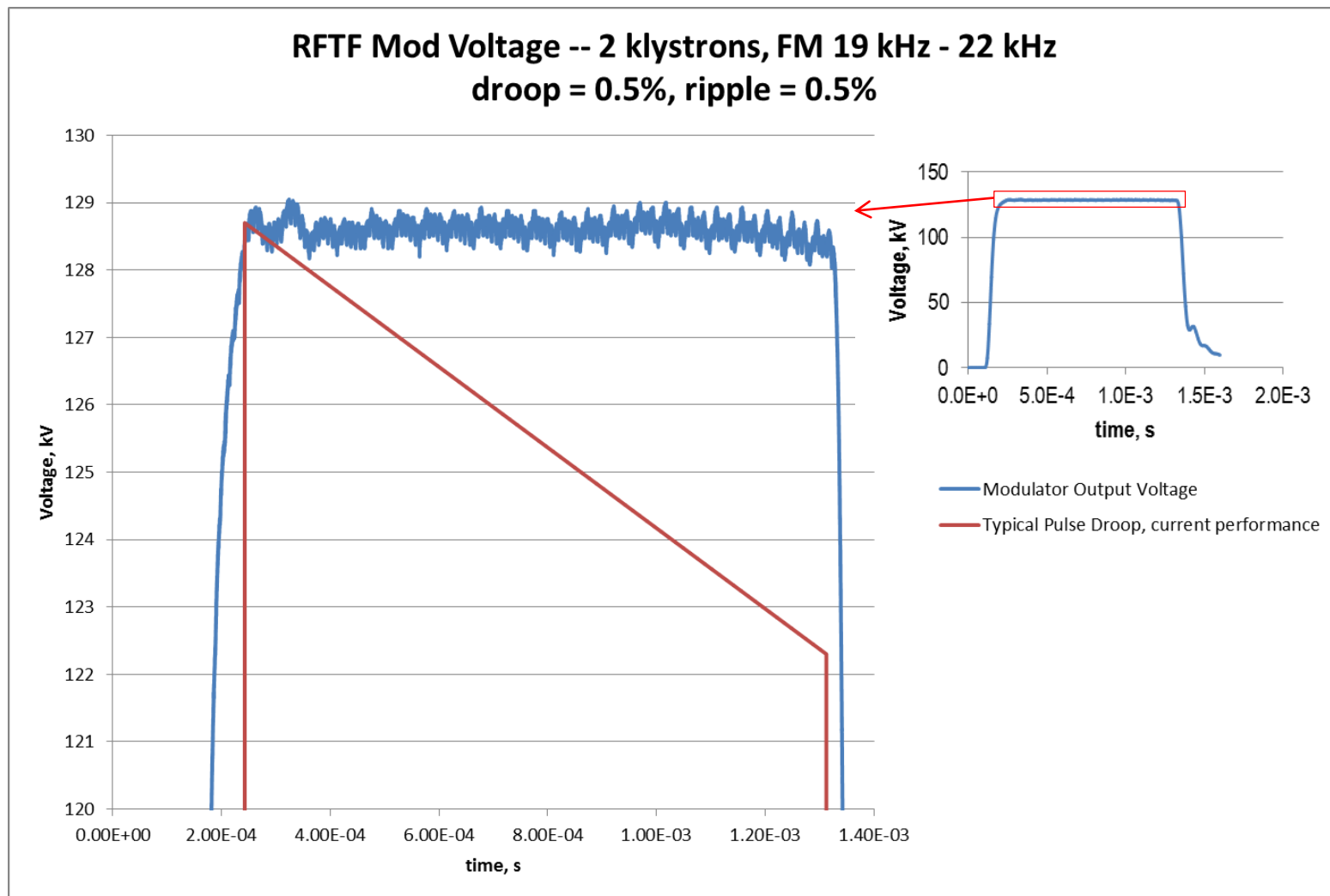
# Switching losses measured over range of safe operating areas support minimal use of phase shift modulation

A+\* 1235  $\mu$ s Pulse Switching Losses at 1000 V Bus  
Optimized IGBT driver\*



Switching losses increase greatly when employing any significant degree of phase shift modulation but are virtually unchanged over the spectrum of frequency modulation

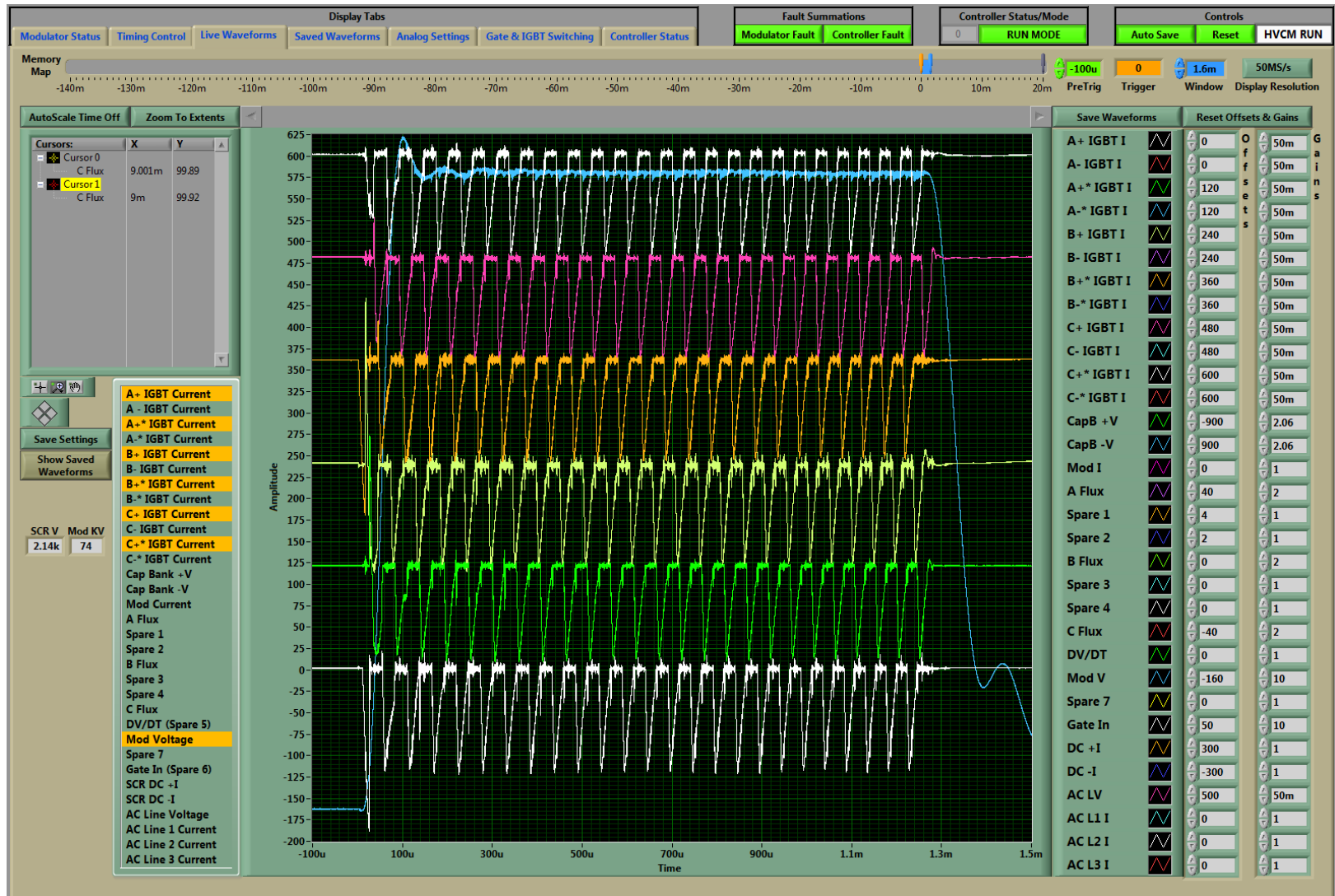
# Frequency Modulation alone can achieve flat top goals with minimal increases in switching losses



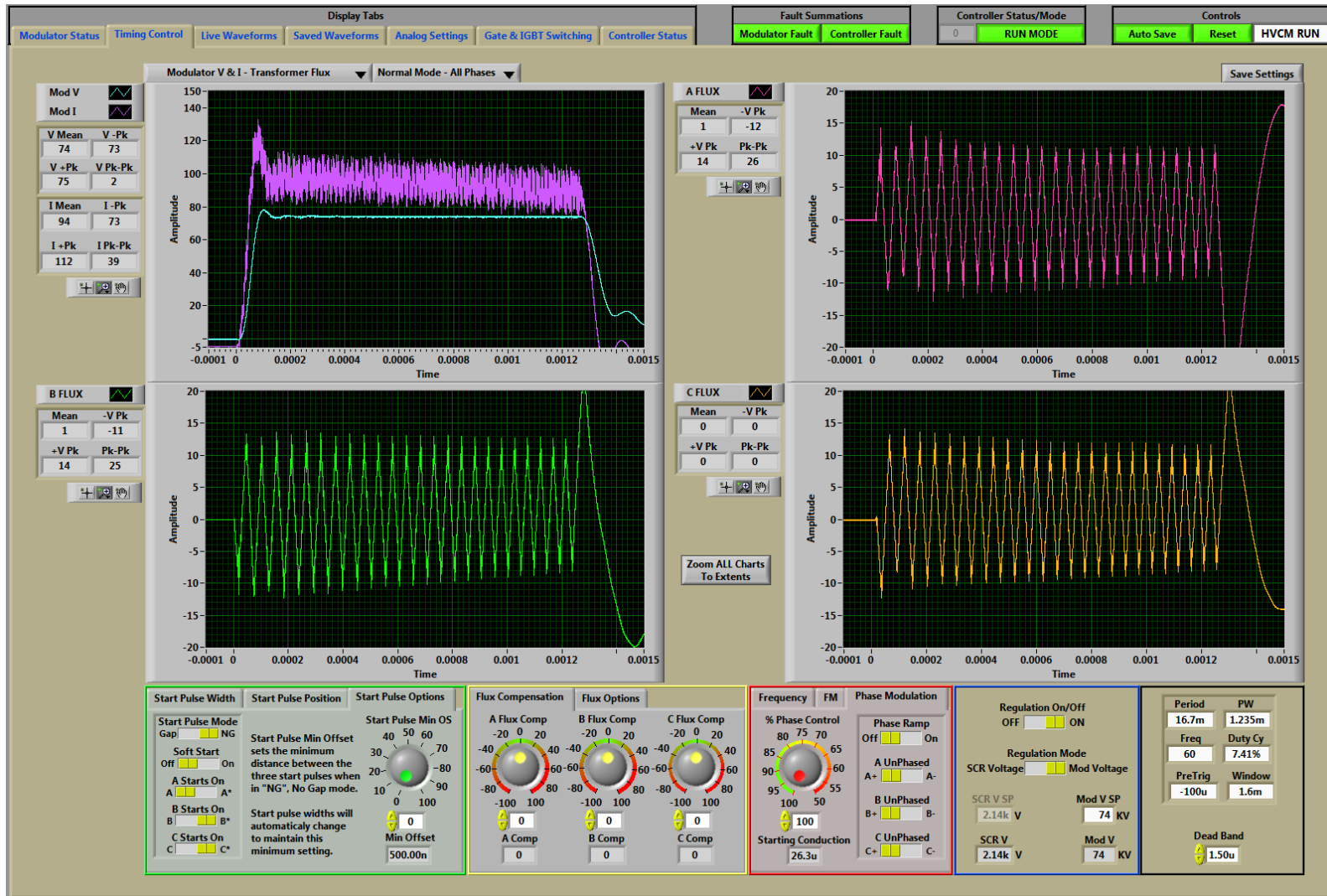
Operational for 100s of hours on NCL HVCMs, 1000s of hours on SCL HVCMs on test stands at full power



# The existing controller does not support the proposed modulation scheme but the new controller does and can provide additional functionality...



# The new controller enables all types of modulation and provides real-time waveforms for immediate assessment of timing changes...



# The new controller mimics current user interfaces and enhances them...

Modulator Status
Timing Control
Live Waveforms
Saved Waveforms
Analog Settings
Gate & IGBT Switching
Controller Status

**Fault Summations**  
Modulator Fault
Controller Fault

**Controller Status/Mode**  
0 RUN MODE

**Controls**  
Auto Save
Reset
HVCM RUN

Regulation Off/On  
OFF  ON

Regulation Mode  
SCR Voltage  Mod Voltage

SCR V SP  Mod V SP

V Ref To SCR

SCR I Limit SP  I Ref To SCR

SCR 220V AC ON	SCR 2100V AC OK	SCR PS	DC + Current OK
SNS PPS Present	AC Line Voltage OK	2.15k V	170 A
SCR Cab E-Stop	43 A	AC L1 Current OK	SCR Firing Circuit
SCR RUN PERMIT	57 A	AC L2 Current OK	1.96k V
SCR ON COMMAND	60 A	AC L3 Current OK	SCR DC V Aux I/O Sum OK
SCR H2O Flow		SCR NOT I Limited	SCR NOT I Limited
SCR H2O Temp	SCR Contactor		170 A
SCR PS Ready	SCR Cabinet Air Temp	40 C	DC - Current OK

Mod KV

Mod I

Request Warnings

Equipment Hours		Mod Tank	SCR PS	A Phase	B Phase	C Phase	Controller
		438.15	137.15	137.15	137.15	137.15	137.2

To Avoid Releasing CO2 Call The Control Room, Then Cycle PPIC Breaker On Power Dist Chassis Above To Reset The Smoke Detectors

Modulator RUN	Smoke Detector	CO2 Valve Open	Click Here To Release CO2	Click Here To Delay Reporting Smoke Alarm	CONFIRM CO2 Release
Spare Seven OK	CO2 Charged	CO2 Panel All OK	EPICS CO2 Release	60	CO2 Release OK
BeamStick Variacs OK	BeamStick Vacuum OK	Oil Leak OK	DIO 4	DIO 5	DIO 6
42R Spare 1	42R Spare 2	42R Spare 3	42R Spare 4	42R Spare 5	42R Spare 6
					42R Spare 7
					42R Spare 8

Bypass Selections OK	EPS Fiber Run Permit	EPS Fiber BYPASSED	0 PSI	0 C	0 GPM	0 PSI	0 C	0 PSI	60 Hz	1.23m s
Select Signals to Bypass	XMTR 1 Run Permit	XMTR 1 BYPASSED	Oil In Pressure OK	Oil In Temp OK	Oil Flow OK	Oil Out Pressure OK	Oil Out Temp OK	Oil Filter Dif PSI OK	Gate In Frequency OK	Gate In Pulse Width
Use Ctrl to Select > 1	XMTR 2 Run Permit	XMTR 2 BYPASSED	Oil Pump AC ON	Modulator H2O ON	SCR Local (Spare)	XMTR 1 HW	Aux 1 PPS	PPI Chassis Summation	IGBT AC Pwr ON	IGBT Gates ON
EPS Run Permit	XMTR 3 Run Permit	XMTR 3 BYPASSED	Oil Heater AC OFF	Water Leak	Master E-Stop	XMTR 2 HW	Aux 2 PPS	PPI Chassis E-Stop	Spare One OK	Spare Two OK
XMTR 1 Permit	Spare Fiber Run Permit	Spare Fiber BYPASSED	Oil Level OK	IGBT H2O Flow	IGBT Doors	XMTR 3 HW	Target Protect	PPI Chassis Inhibit Key	Spare Three OK	Spare Four OK
XMTR 2 Permit									Spare Five OK	Spare Six OK
XMTR 3 Permit										
Spare Fiber In Permit										

SPALLATION NEUTRON SOURCE

# All these features available in a COTS package utilizing LabView for programming flexibility and ORNL ownership

FlexRIO systems consists of:

- An embedded controller for communication and processing
- **Reconfigurable** chassis housing the user-programmable FPGA
- Hot-swappable I/O modules
- Graphical LabVIEW software for rapid real-time, Windows, and FPGA programming



**Upgraded version (currently available) of the new controller provides:**

EPICS interface

Flexible smoke detector logic

Enhanced IGBT monitoring (need to integrate with new drivers):

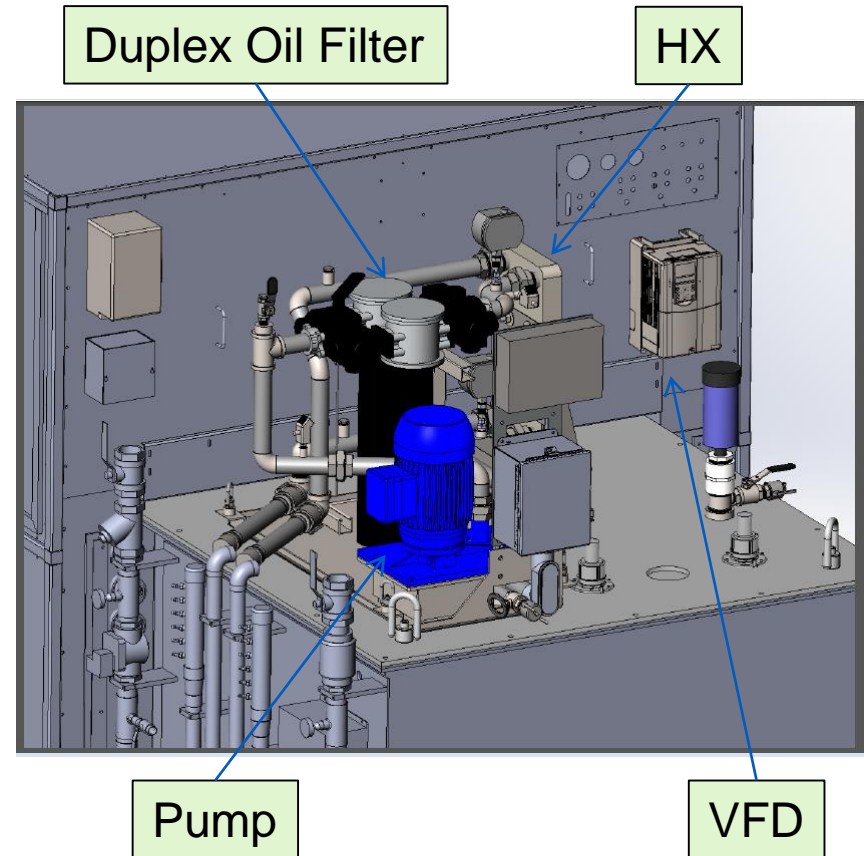
IGBT shoot-through monitoring & warnings

IGBT turn on & turn off monitoring,  
compensation & warnings

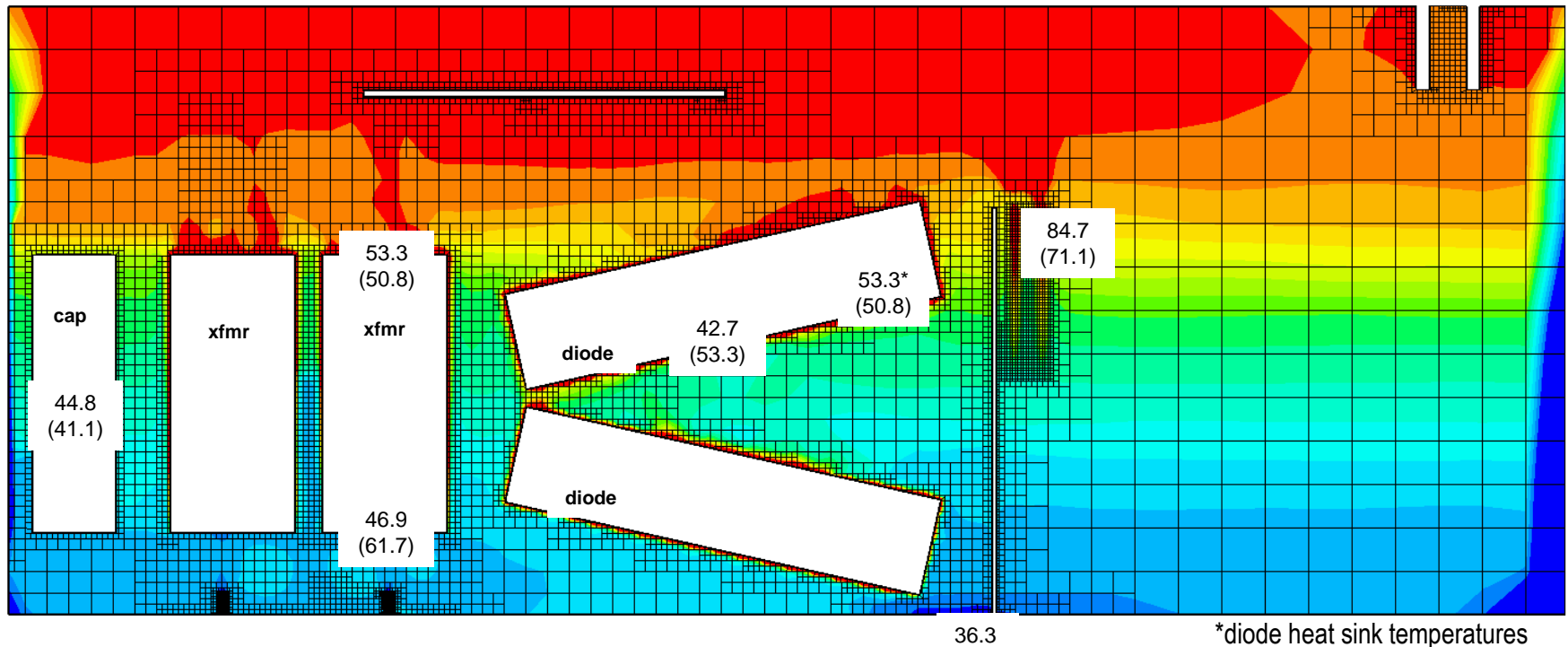
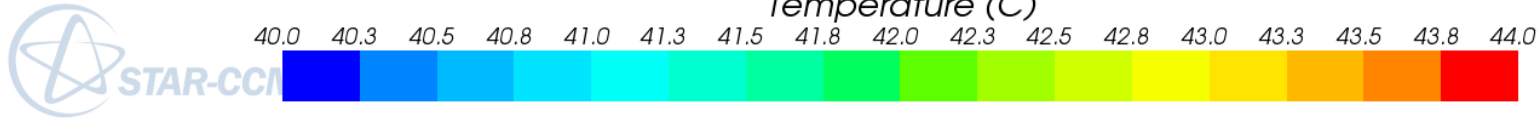


# Enhanced reliability and reduced MTTR can be achieved by replacing existing oil cooling system

- 1<sup>st</sup> Article HVCM cooling skid is being built In parallel with this a Computer Flow/Heat Model is being built to simulate the cooling effects on the individual components within the tank
- The cooling system design will provide enhanced flow and heat removal capacity, remove the heat exchanger and filters from within the tank, and allow for quick and easy maintenance of the filter and pump
- Testing scheduled to begin mid-April and single unit installation during the summer outage 2015
- Combined with new controller, offers enhanced oil monitoring



# Simulations of temperature profiles and flow rates with current system reveal system shortcomings



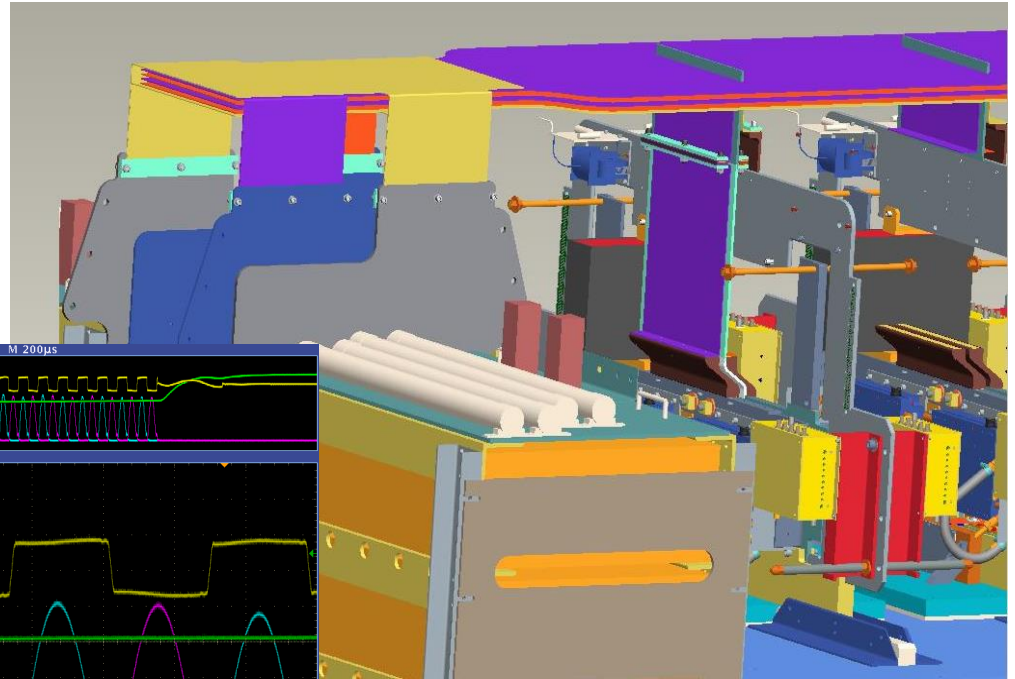
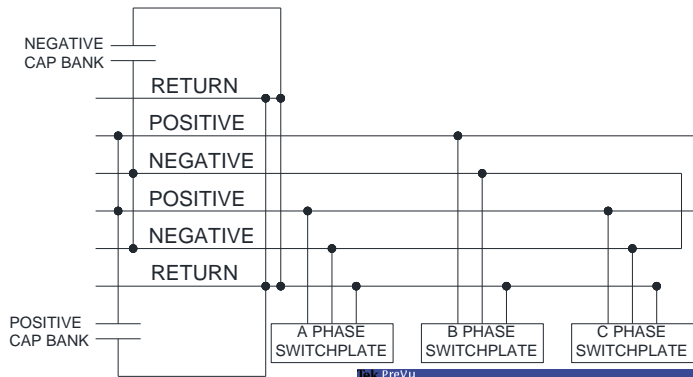
- Actual measured temperatures at rated power shown in °C in white boxes
  - Temperature after testing with prototype shown in parenthesis
- Model based on calculated power losses and estimated flow rate only
- Temperatures acceptable but oil stagnation indicates heat not being removed efficiently

# Laminated Bus

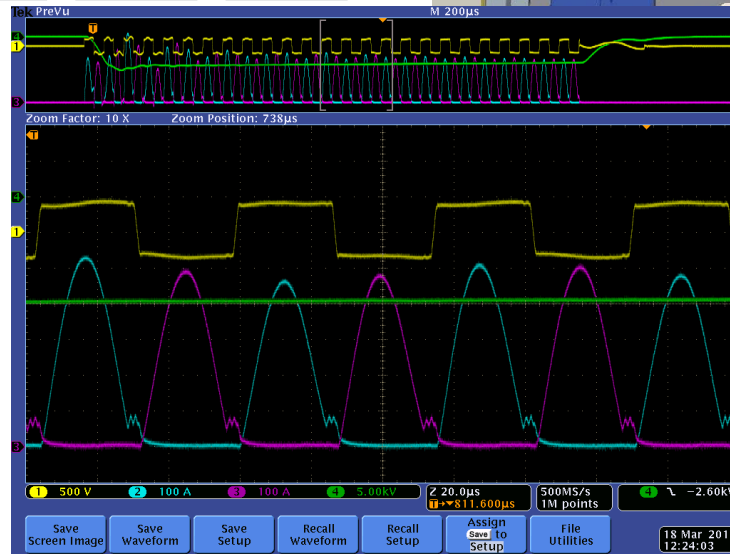
- Inductance of header cables, modulator capacitor bank and bypass capacitors form a high Q resonant circuit, which superimposes substantial ripple on the switch plate DC bus. The ~20kHz resonant frequency is not attenuated by the output filter components in the oil tank and appears on the output pulse delivered to the klystron load.

## Proposed Solution

- Create a lower impedance (higher bandwidth) bus between main capacitor bank and each switch plate so energy is supplied directly from the bank. Match each phase loop inductance.



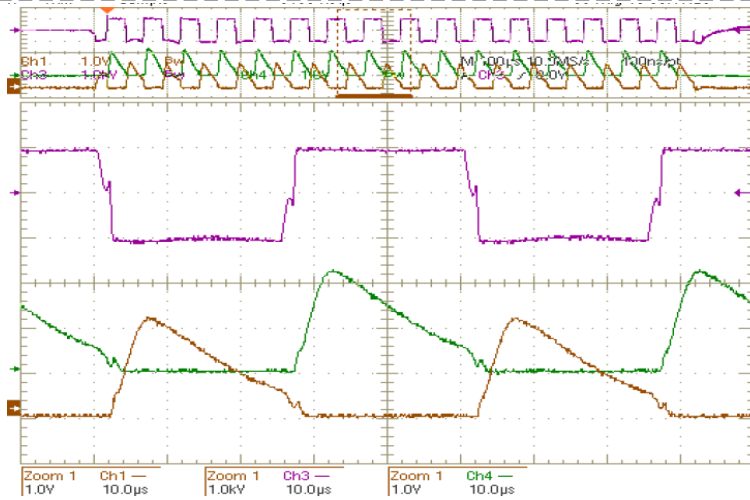
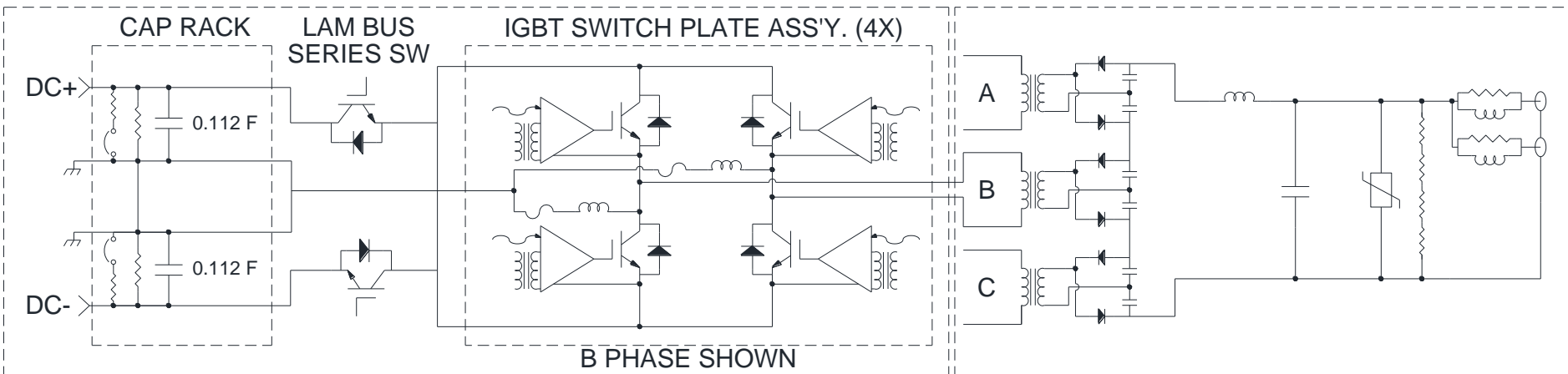
Ripple @ 1kv/div 400Vbus



# An alternate topology is under investigation for the higher reliability with applications to other long pulse facilities

SAFETY ENCLOSURE

OIL-FILLED MODULATOR TANK

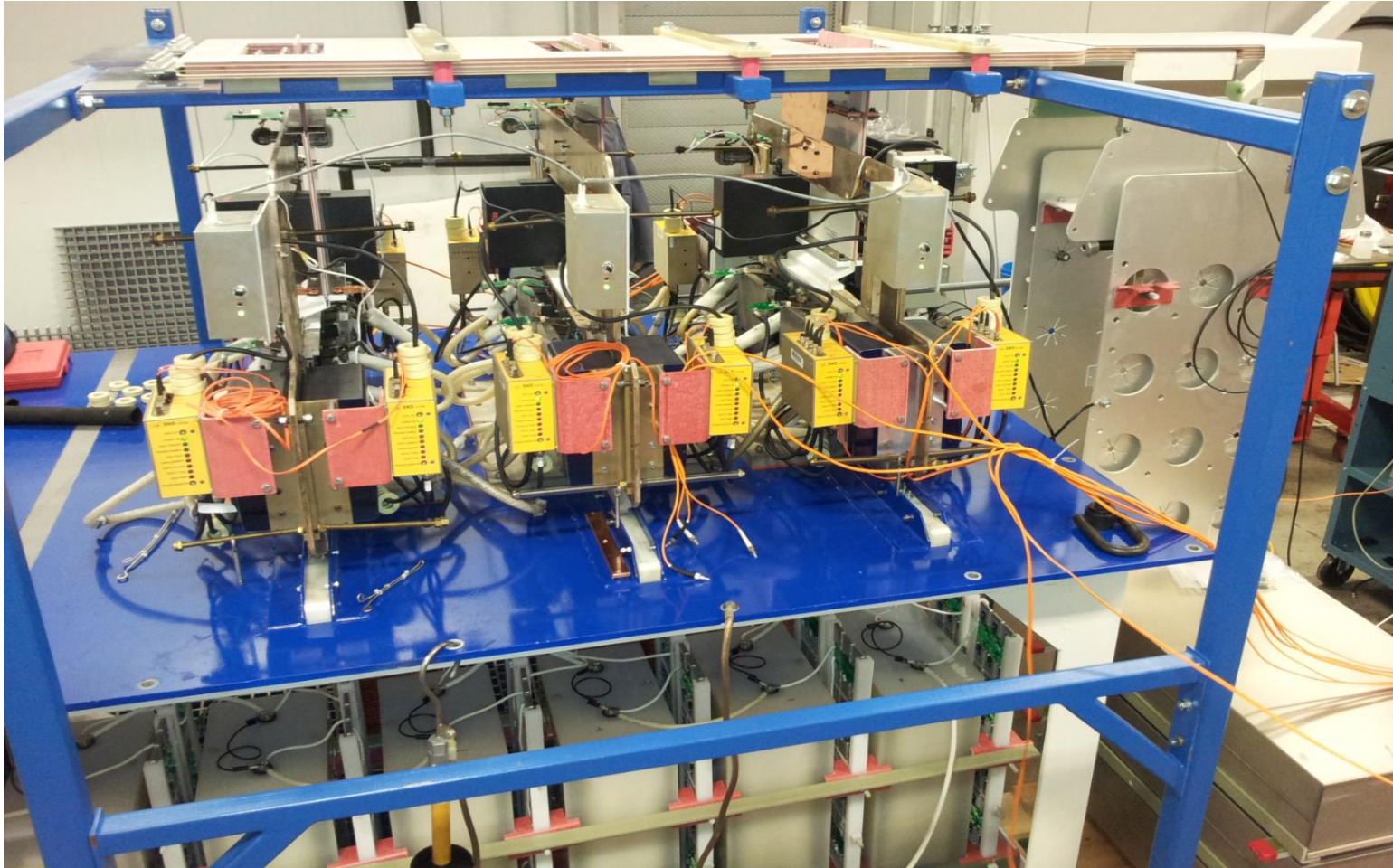


- Present IGBT voltage and current shown
- Not ZVS @ turn-on
- Load sensitive

- Alternate topology IGBT voltage and current shown
- ZVS @ turn-on from magnetizing current
- Load insensitive
- No voltage reversal on caps



# Alternate Topology test stand



- New layout optimized by rotating transformers 90° and by interleaving individual rectifier cards with their equivalent boost capacitor in the stack.

## Summary

- **HVCM availability improved substantially and meets facility availability requirements**
- Synergistic solutions in development or installed to address remaining problems with HVCM to further improve reliability, increase available power and flatten pulse
- Capacitor problems continue but multiple options being evaluated
- Implementation of proposed alternate topology allows for future expansion & major subsystem redundancy
  - Supports needs of 2<sup>nd</sup> Target Station
  - Permits more flexibility with respect to load configuration
- The SNS modulator team and the demonstrated HVCM high availability makes this topology attractive to KAERI and the proposed MaRIE upgrade
- Other modulators (JEMA, DTI) being evaluated internally for future applications.

# Backup Material

# Recommendations from the last review are addressed throughout and paraphrased below

- Implement replacement of the new tested IGBT gate driver as soon as possible...The new driver design solves these IGBT driver problems.
  - Implemented on 11 of 15 units plus both test stands
  - Multiple configurations exist to address spurious trips from:
    - Higher than average di/dt on the RFQ modulator
    - Runt start pulses on most C phases
  - Current revision of circuit will permit consistency across all HVCMs once implemented
  - Upgraded gate driver PS reduces switching losses and eliminates gate trips under FM
    - Not necessary for current controller operation
    - Currently operating without incident on CCL-Mod1 & CCL-Mod2
- Install as soon as practical the tested snubber networks on the switch plate IGBTs...The installation of the snubber network will assure that there is no overvoltage of the IGBT during any abnormal control condition.
  - Implemented on 5 Of 15 units plus both test stands
  - No failed IGBTs on systems where snubbers are installed
  - Installation performed in conjunction with new IGBT drives
  - Will return and retrofit those without snubbers once all HVCMs have new gate drives

## Continuation of recommendations from the last review

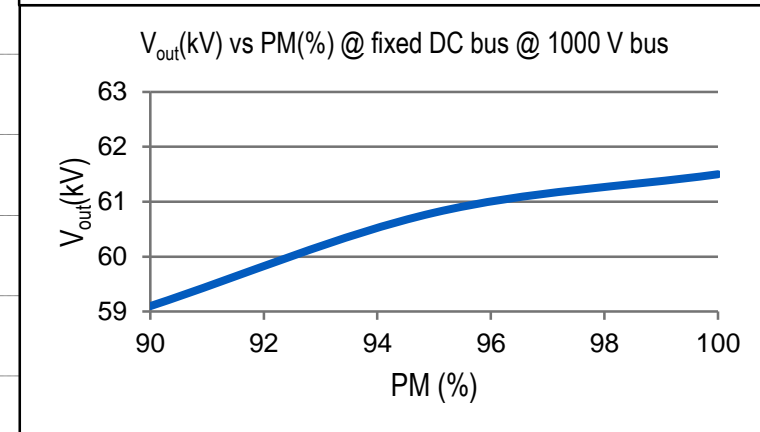
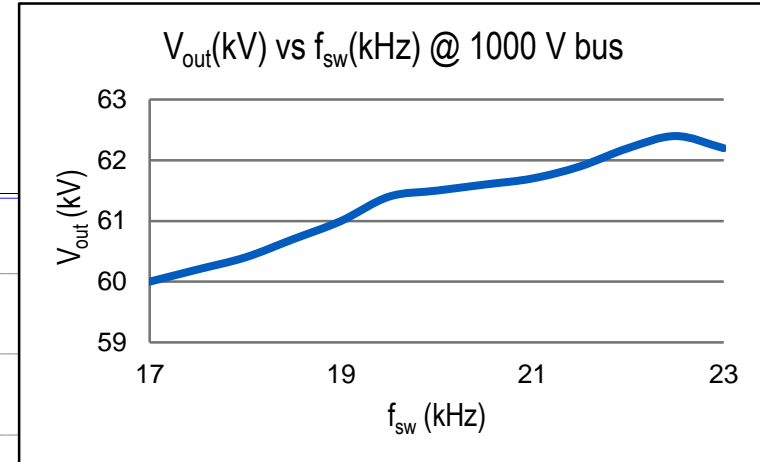
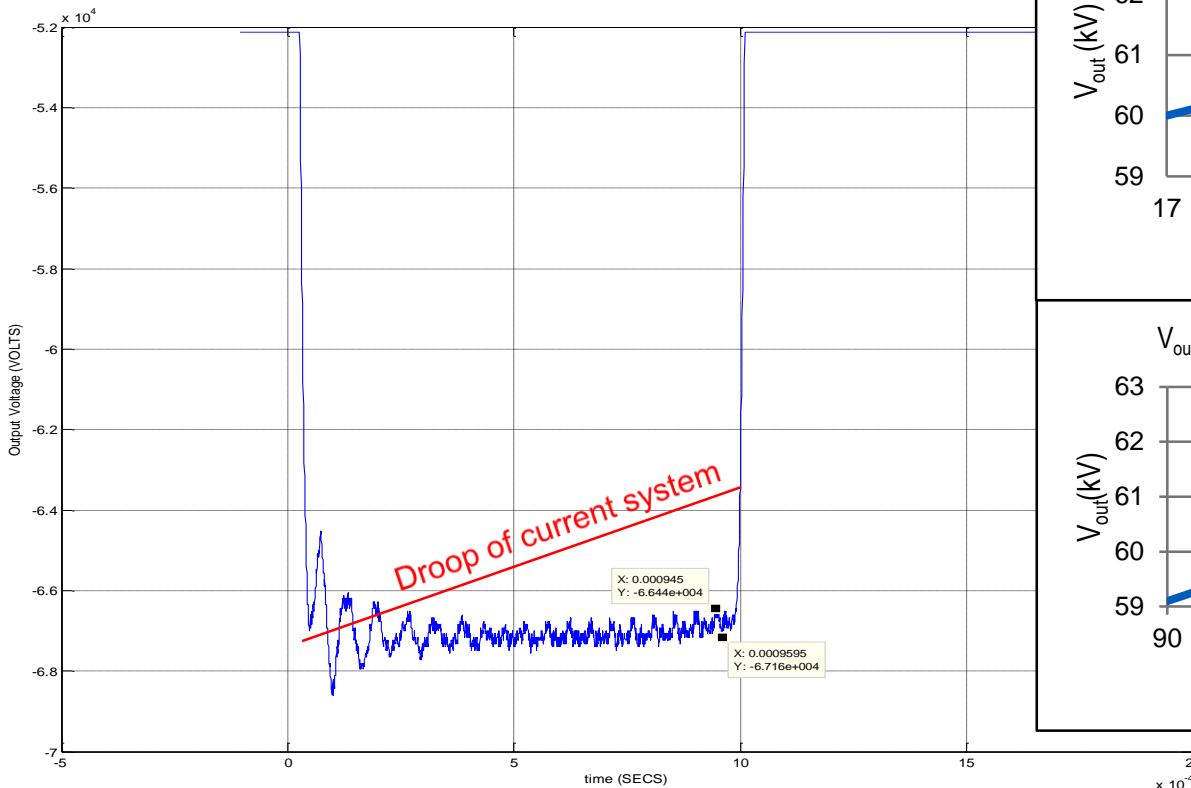
- Proceed with the replacement of the controller with the new flexible controller, which would allow for correct trigger control, droop correction and will provide improve monitoring of the HVCM during operation and faults.
  - Initial plans to install in the winter delayed by shortened outage duration
  - Currently plan to install on SCL-Mod14 in the summer
  - Currently running on HEBT and RFTF test stands
- To make 1.4MW possible the committee recommends that the proposed swappable 3 out of 4 redundant alternate topology be pursued vigorously...In addition to allowing redundancy to failure, it provides for a mechanism for clearing of the IGBT switch plate fault without exploding the IGBT...With a failure of one switch plate, the remaining 3 switch plates could continue to operate until an appropriate maintenance time for replacement.
  - Focus has been on alternate topology and associated discoveries
  - Series switch, a key component to 4 phases, demonstrated previously

# Early attempts utilizing Phase Shift and Frequency Modulation achieve pulse flattening and have been demonstrated at 60 Hz full power on a SCL system

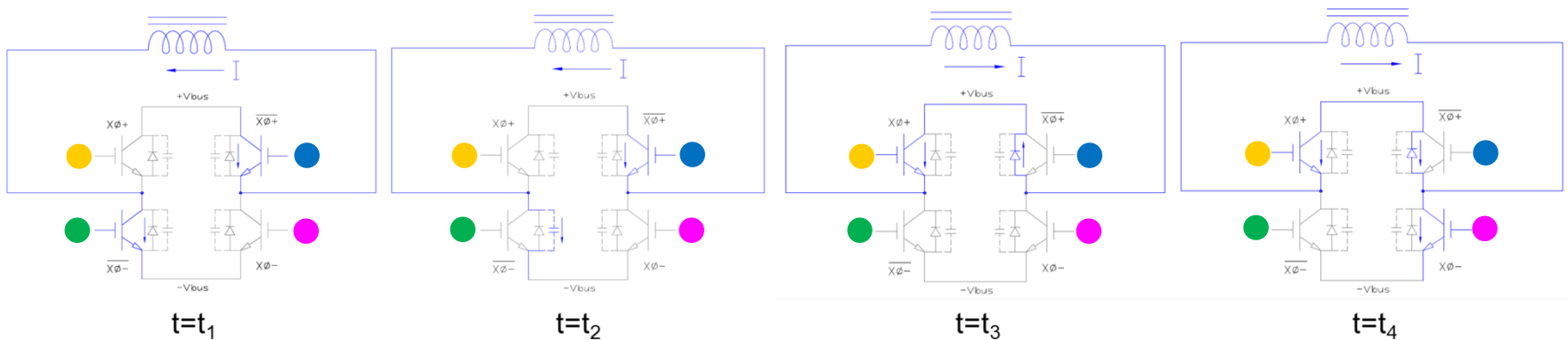
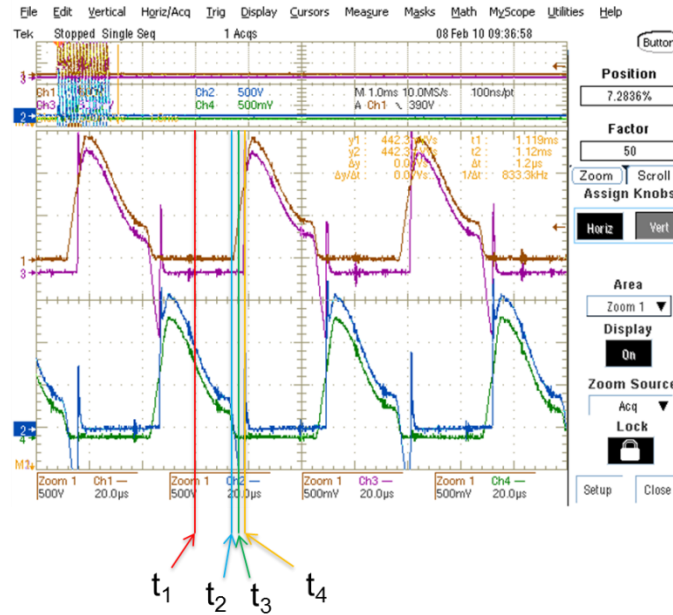
Output Voltage= 61.8 kV  
 Bus Voltage= +/- 1004 V  
 FM = 20 kHz to 22 kHz  
 PSM = 95% to 100%



$\Delta V_{droop} = 304 \text{ V/ms}$  (0.5%  
 vs. 3-5% currently)  
 $\Delta V_{ripple} = 664 \text{ V}$



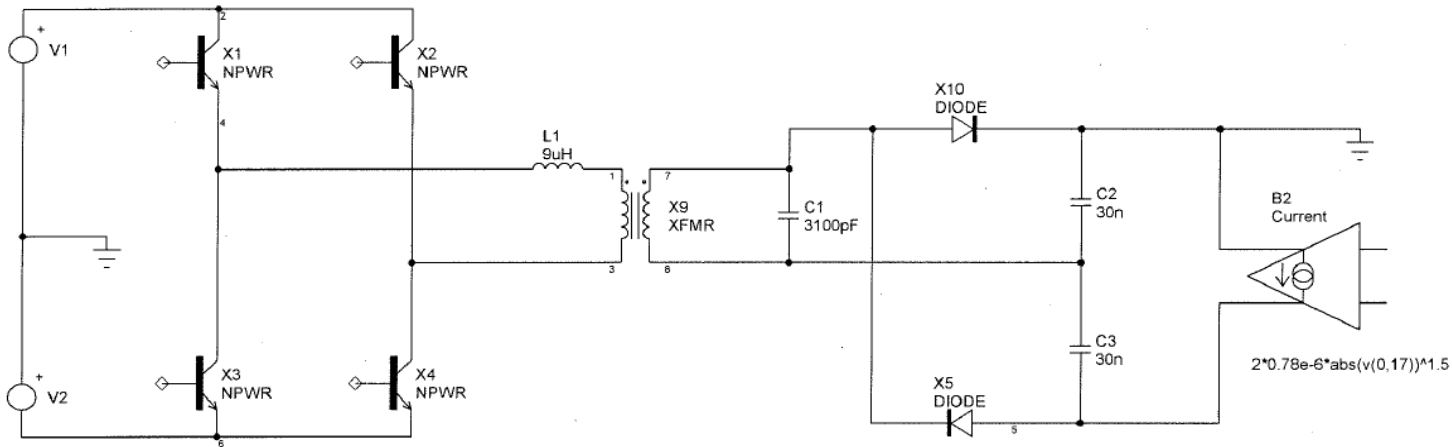
# Pulse flattening originally included provisions for Phase Shift Modulation which introduces large switching losses



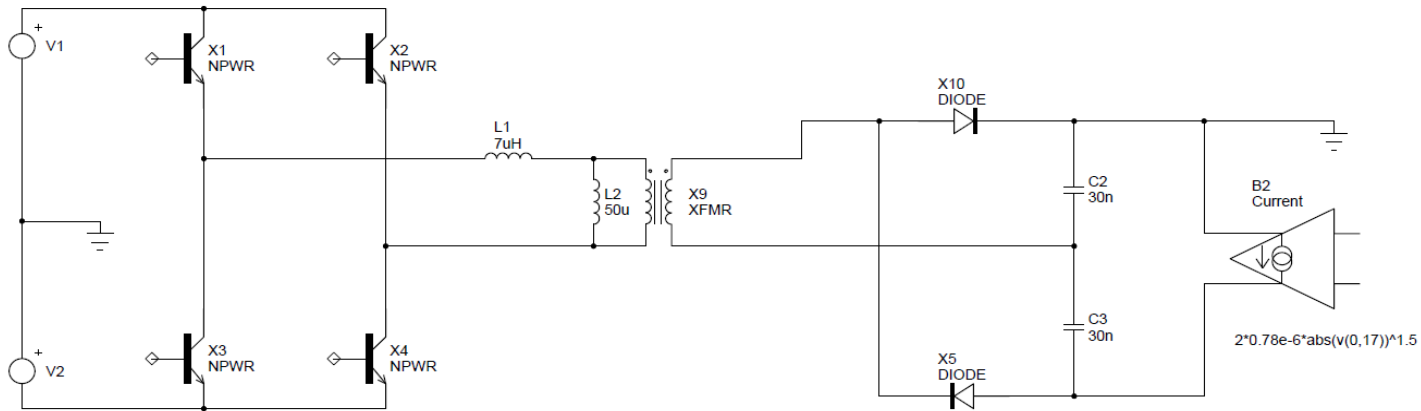
16 kHz, 13% phase shift ( $8.1 \mu\text{s}$ ) shown. When  $X-$  turns on at  $t_4$ , current that has built up in  $X+$  PLUS  $I_{rr}$  of  $X+$  body diode creates large current spike, increasing switching losses in  $X-$  IGBT

# HVCM Topologies

Present design: three phases are interleaved and **connected in parallel**



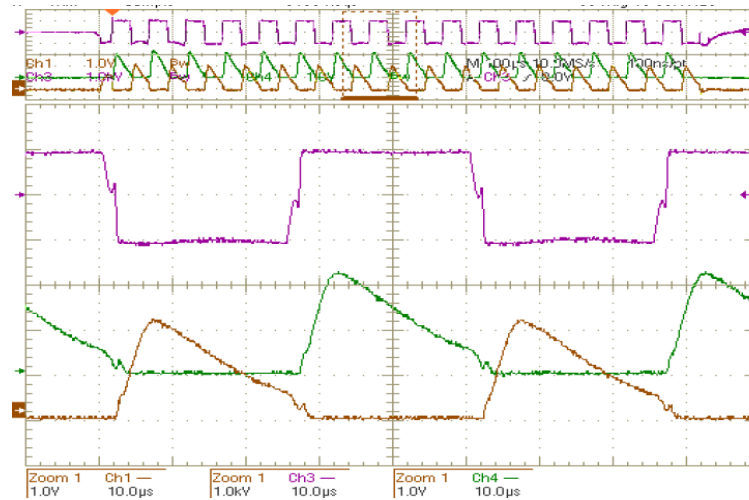
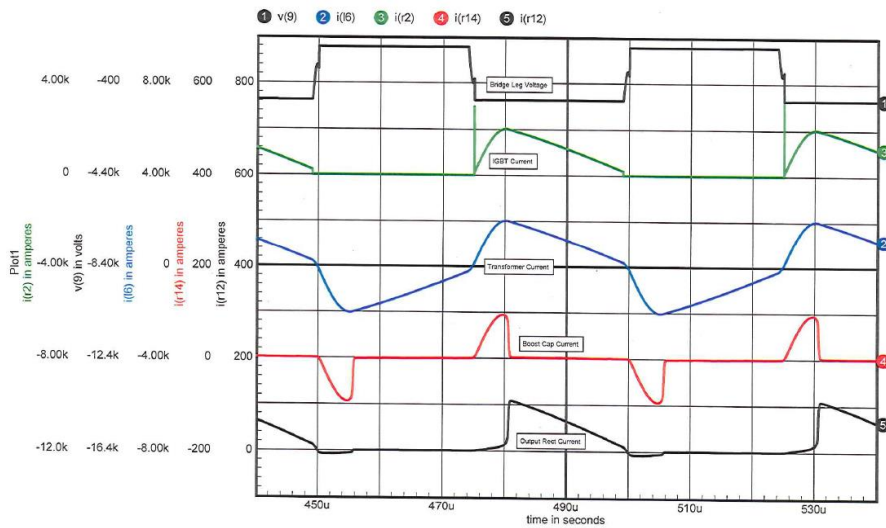
New design: three phases interleaved and **connected in series**.



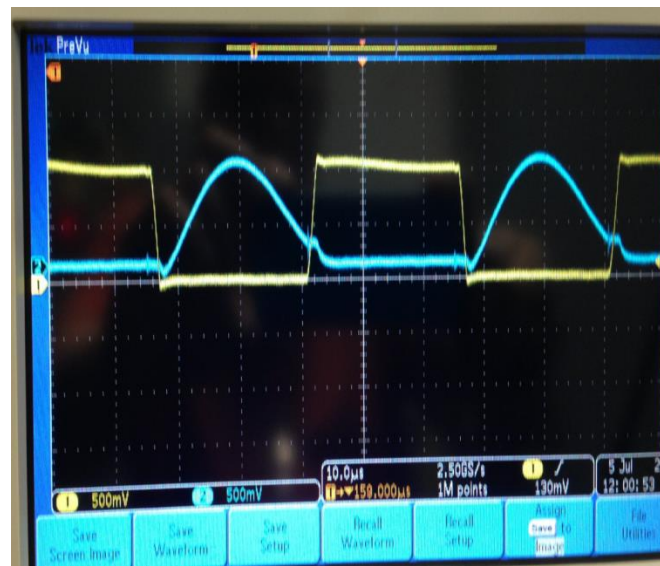
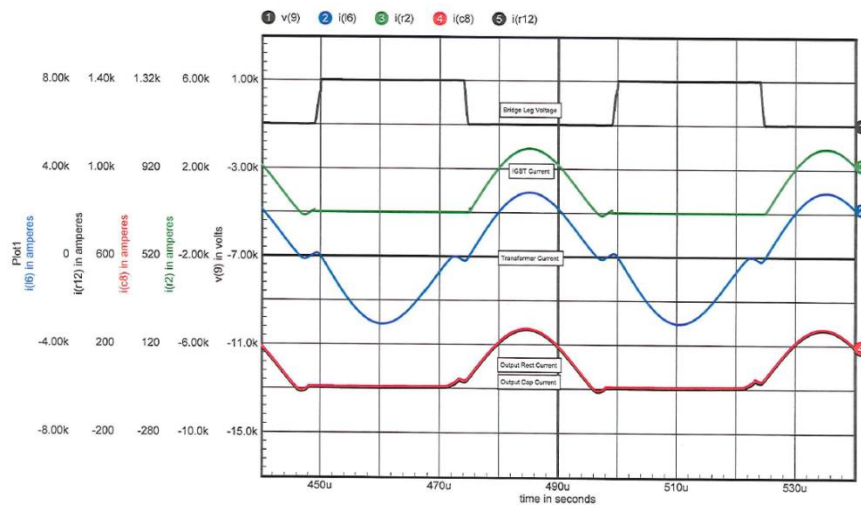


# SPICE waveforms for 2 DTL 2.5 MW klystron load

Existing HVCM design



Alternate topology



# Challenges remain on HVCM systems which are still judged to be potential vulnerabilities, particularly for the future of the facility

- Boost capacitors
- Obsolescence
- Aging electromechanical components
  - Relays
  - Fans
  - Pumps
  - Flow/temperature/pressure transducers
  - PLCs (relay outputs)
- As-builts and update documentation
- Configuration management
- Spares and inventory management
- Work control
- Train personnel on new subsystems

## An alternate STS solution, the hybrid inverter – Marx modulator from JEMA, is awaiting testing at ORNL

- On-site with preliminary checkout performed
- Awaiting installation of infrastructure to support preliminary testing
- Required significant improvement of safety systems to meet DOE Electrical Safety requirements
- Specified to drive  $12 \times 700$  kW CPI klystrons (85 kV, 160 A)

