

# Neutron Polarization

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# Scope of this lesson



**Yes!** Utilizing polarized neutrons to *distinguish* different aspects or dimensions (contrast and character) of the “unpolarized” neutron scattering



**Just a taste...** *Enhance resolution via Larmor precession of the polarized neutron before and/or after sample*



**Not in this school:** Using polarized neutrons to better understand the *physics of the neutron itself*

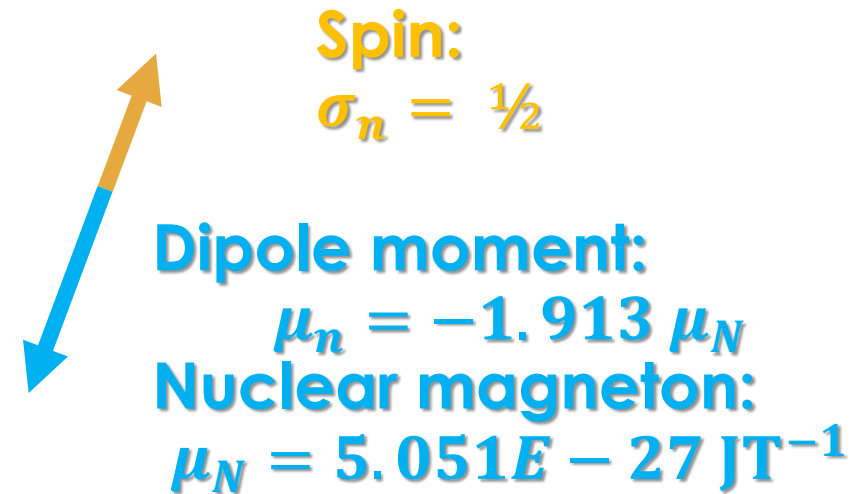
Polarization Analysis is NOT a single technique.

You'll learn about several 'configurations' which access and isolate different kinds of intensity variations and concurrent spin reorientations.

# A “neutron” by any other name...

## Neutrons are NOT neutral

- Doesn't the term “neutron” imply neutral everything?
  - Electric neutrality, yes
    - Neutrons ignore charge of electrons and protons in atoms
    - Penetrating power!
  - Pesky quarks
    - Neutron **spin**
      - Affects “strong force” interaction
    - Neutron **magnetic dipole moment**
      - Affects magnetic interaction



Which way is 'up'?

*Depends on what you're using...*

Did we really put these on the same diagram with totally different units?

*Yes, yes we did...*

Now in 3D!



## Science areas *(no, really! Yours, too)*

- Biology
- Soft matter & Polymers
- Materials & Engineering
- Condensed matter & Quantum materials
- Chemistry
- Geology
- Environmental Science

Examples in  
mini poster session!



## Neutron Scattering Technique *(Instrument)*

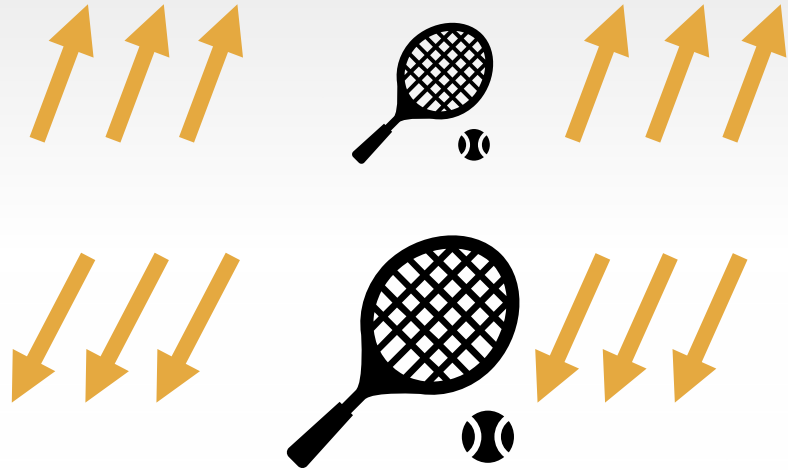
- Diffraction
- SANS
- Reflectometry
- Spectroscopy
- Imaging

Instruments with  
polarization in  
mini poster session!

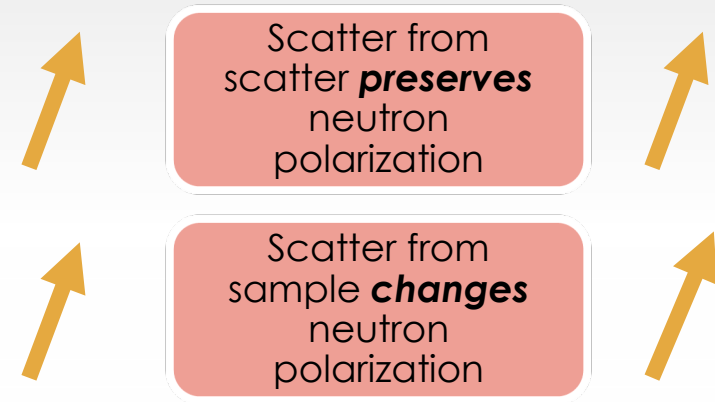


# Changes depend on polarization of incident beam

## Changes in Scattered Neutron Intensity



## Changes in Neutron Polarization State



# Key Questions you need to be asking

Q1

## Can polarized neutrons help my science?

Not easy to answer. Not just a single Application!

In this presentation we provide a framework for figuring this out

Q2

## Are polarized neutrons needed?

Alternative, unpolarized ways to answer same thing?

Q3


## Reality check?

- A. Available with scattering technique?
- B. Time and statistics due to (usually) reduced signal and (often) multiple measurements?
  - i. Problematic on high throughput instruments
- C. Complications of polarized optics (compatibility with sample conditions, corrections to data, etc.)
- D. Ease of reaching results (software tailored for polarization)

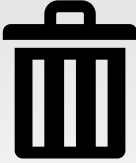

Depends on state-of-art, and what's available where



## Overlapping information?

- Structure & dynamics in many size and energy scales
  - Sometimes, both at once!
- Nuclei spin-state specific
- Isotope-specific
- Magnetism
- Coherent interference *between* nuclear and magnetic terms!?! 

## One person's trash is another's treasure

- The lack of coherence of hydrogen scattering makes finding some Bragg peaks *near-impossible...* but that same incoherent scattering is *perfect* for measuring energies of various modes for chemistry   


## Unclear on directional aspects of your material?

- Even if all your scattering is magnetic, maybe the moments on different atoms point in different directions with different strengths; polarized neutrons can help!

## (usually) distinguish even with 'unpolarized' scattering

- Expecting only one kind of scattering
  - Based on system studied
  - Based on where scattering is observed
    - Low momentum transfer  $Q$ : magnetic
    - High momentum transfer  $Q$ : weak
  - Based on thermodynamic conditions
    - Phase changes (magnetism below  $T_n...$ )
    - Compare / contrast / subtract strategies
- MOST neutron scattering experiments leverage unpolarized neutrons!
- But, sometimes, we need to tease contributions apart

# Q1

## Clues to how experimentalists utilize polarized neutrons

Somewhere hidden in each publication is a statement that answers this question

- Accounts for the system / material being studied
- Identifies a specific 'capability' leveraging polarized neutron scattering

How to find this statement?

- Find the polarized neutron figure, backtrack to the text where that figure is referenced, and *voila!*

Sometimes even more context

- Often find introductory / explanatory text about polarized neutrons, despite 60-year history



Examples of papers and application statements in mini poster session!

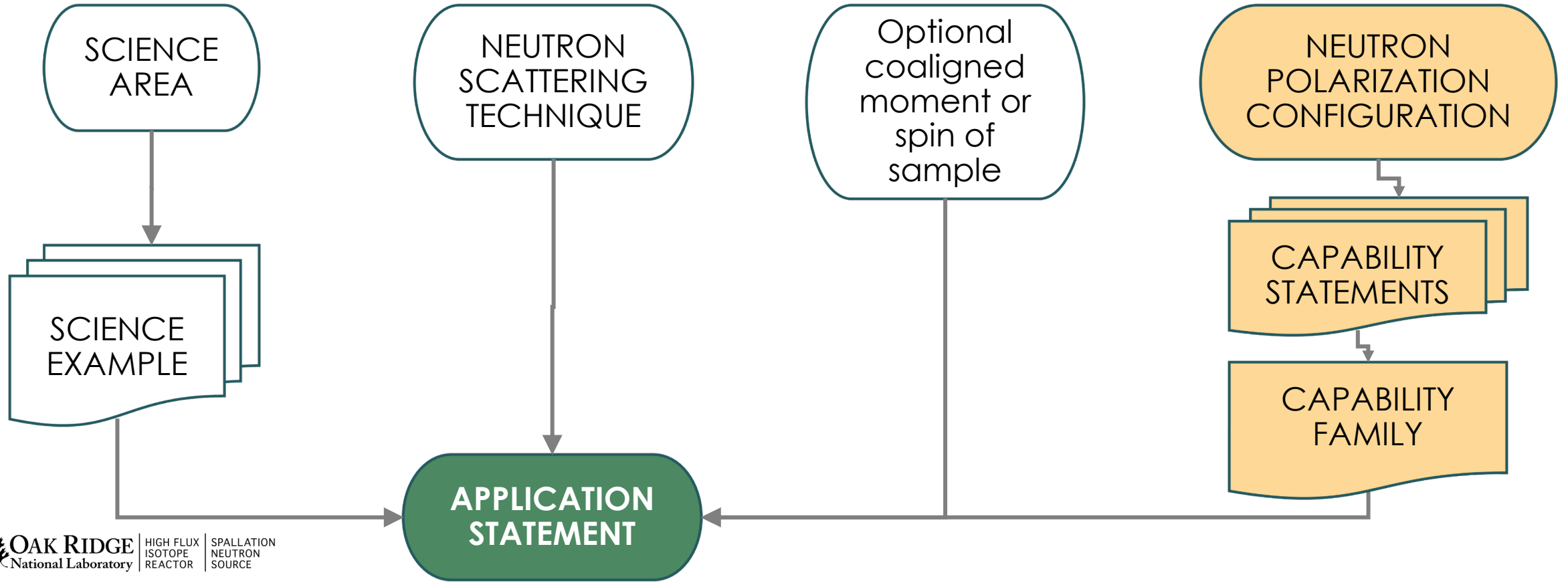


Polarization  
application statement



# Q1 Ingredients for your 'polarized' application statement




As a [**SCIENCE AREA**] neutron scattering experimentalist, I want to   
 [**NEUTRON SCATTERING TECHNIQUE**]   
 so I can [**APPLICATION statement**] for [**SCIENCE EXAMPLE**]



# Combine neutron scattering *contrast* and *character*

Reciprocal space  $\leftrightarrow$  Real space

$N(\mathbf{Q}) = \sum_n b_n e^{i\mathbf{Q}\cdot\mathbf{R}_n}$	Nuclear structure factor
$\mathbf{M}(\mathbf{Q}) = \sum_n \mathbf{M}_n e^{i\mathbf{Q}\cdot\mathbf{R}_n}$	Fourier transform of magnetic moments / magnetic structure factor

	Incoherent	Coherent	Absorption
Spin / Nuclear	$I_N$ & $I_S$ 	$N(\mathbf{Q}, E)$	$\sigma_{abs}$
Magnetic		$\mathbf{M}(\mathbf{Q}, E)$	

Vector convention: boldface  $\mathbf{Q}$  instead of  $\vec{Q}$   
 Absorption never measured in scattered signal

# Meet the “Vector” family

$\vec{R}_n$		Coordinates of one atom in unit cell for crystal	
$\vec{M}_n$		Which way and how strong a magnetic moment of an ATOM points	
$\vec{P}$	Polarization	A measure of how ‘polarized’ the incident beam is, and average orientation of those neutrons’ spin (or magnetic moment) at sample position $\vec{P} = 2\langle\vec{\sigma}_s\rangle$	Real space
$\vec{P}^1$		The new polarization of the scattered neutrons	
$\vec{Q}$	Momentum transfer	Incident neutron momentum minus final neutron momentum $\vec{Q}_{lab} = \vec{k}_i - \vec{k}_f$	
$\vec{M}(\vec{Q})$	Magnetic structure factor	Fourier transform of $\vec{M}_n$	Reciprocal space
$\vec{M}_\perp$	“M perp”	The component of the Magnetic structure factor perpendicular to the momentum transfer $\vec{Q}$	

# Polarization Configurations access Intensity and/or Polarization State

$N(\mathbf{Q}) = \sum_n b_n e^{i\mathbf{Q}\cdot\mathbf{R}_n}$	Nuclear structure factor
$\mathbf{M}_\perp = \mathbf{e}_Q \times \mathbf{M}(\mathbf{Q}) \times \mathbf{e}_Q$	"M perpendicular"
$\mathbf{M}(\mathbf{Q}) = \sum_n \mathbf{M}_n e^{i\mathbf{Q}\cdot\mathbf{R}_n}$	Fourier transform of magnetic moments / magnetic structure factor
$\mathbf{e}_Q = \mathbf{Q}/ \mathbf{Q} $	Unit vector along momentum transfer $\mathbf{Q}$
$I_{si}$	Spin incoherent scattered intensity
$\mathbf{P}, \mathbf{P}^\dagger$	Initial and final polarization

POLARIZATION CONFIGURATION	Impacts the scattered neutron	Optics
Half Polarized Dynamic Nuclear Polarization Solve Phase Problem	Intensity	1 filter 1 flipper
Longitudinal Analysis I Larmor	Polarization State	2 filters 1 flipper
Longitudinal Analysis II Spherical Neutron Polarimetry	Both	2 filters 2 flippers

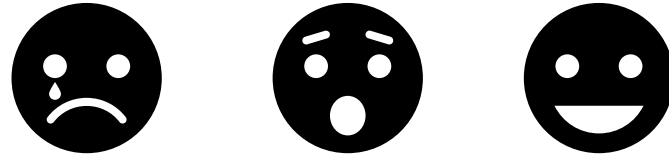
$$I = I_n + N^\dagger N + I_{si} + \mathbf{M}_\perp^\dagger \mathbf{M}_\perp + \mathbf{P} \cdot \mathbf{M}_\perp^\dagger N + \mathbf{P} \cdot \mathbf{M}_\perp N^\dagger + i\mathbf{P} \cdot (\mathbf{M}_\perp^\dagger \times \mathbf{M}_\perp)$$

$$\mathbf{P}^\dagger I = \mathbf{P} \left( I_n + N^\dagger N - \frac{1}{3} I_{si} \right) + (\mathbf{P} \cdot \mathbf{M}_\perp^\dagger) \mathbf{M}_\perp + (\mathbf{P} \cdot \mathbf{M}_\perp) \mathbf{M}_\perp^\dagger - \mathbf{P} (\mathbf{M}_\perp^\dagger \mathbf{M}_\perp) + iN (\mathbf{P} \times \mathbf{M}_\perp^\dagger) - iN^\dagger (\mathbf{P} \times \mathbf{M}_\perp) + N \mathbf{M}_\perp^\dagger + N^\dagger \mathbf{M}_\perp - i(\mathbf{M}_\perp^\dagger \times \mathbf{M}_\perp)$$

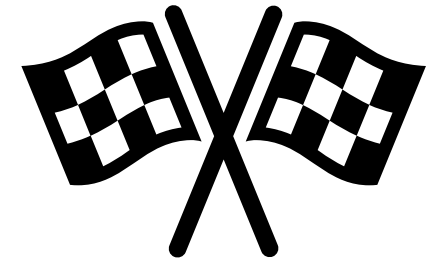
<sup>1</sup>S. V. **Maleev**, V. G. Bar'yaktar, and R. A. Suris, The scattering of slow neutrons by complex magnetic structures Sov. Phys. Solid State 4, 2533 (1963)

<sup>2</sup>M. **Blume**, Polarization effects in the magnetic elastic scattering of slow neutrons, Phys. Rev. 130, 1670 (1963).

# Yes, the Maleev-Blume equations are VERY busy



- Leverage *personality flaw* found in some scientists
  - A. *Make assumptions* about the system you are studying
  - B. Eliminate terms
  - C. Simplify / Streamline the math
- Linear algebra
  - $N$  equations &  $N$  unknowns  $\rightarrow$  solvable problem
- Let's call the solutions to the streamlined equations "Capabilities"
  - Think word problems in reverse...



# Configurations, Capabilities and Capability Families

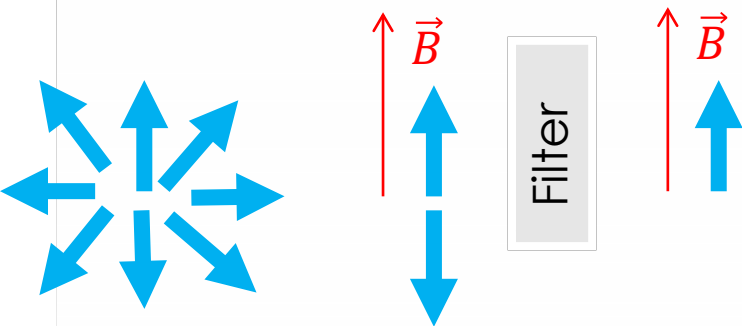
- “Configurations”
  - Combine specific polarization optics
  - Access different Maleev-Blume equations
- “Capabilities” are specific solutions to streamlined Linear algebra problems
  - Assume certain terms in Maleev-Blume equations aren't present
  - Vector: Polarization-state ‘equation’ is really several equations
- “Capability families” are intuitive groupings of those specific solutions

## Capability Families

Isolate nuclear scattering	$N \& I_N$
Isolate spin-incoherent scattering	$I_{si}$
Leverage dynamic nuclear polarization	$N \leftrightarrow I_{si}$
Solve Phase Problem	$N \& \mathbf{M}_\perp$
Explore magnetic scattering	$\mathbf{M}_\perp$
Explore coinciding of nuclear and magnetic scattering	$N \text{ with } \mathbf{M}_\perp$
Explore magnetic chirality	$\mathbf{M}_\perp$ cross terms

## Filters

- 'Quantum' has its advantages...
  - Unpolarized classical has arrows pointing everywhere
  - In ambient field, though, a quantum superposition of 'up' & 'down'
  - A filter can achieve up to\* 50% transmission



\*Actual transmission varies widely...

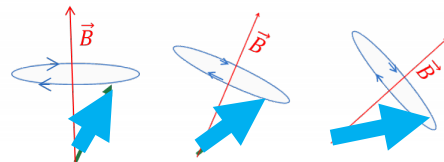
## Guide fields and nutators

- Larmor precession, via torque  $\vec{\tau}$  on neutron magnetic moment  $\vec{\mu}$  by applied magnetic field  $\vec{B}$

$$\vec{\tau} = \vec{\mu} \times \vec{B}, \quad \omega = -\gamma B$$

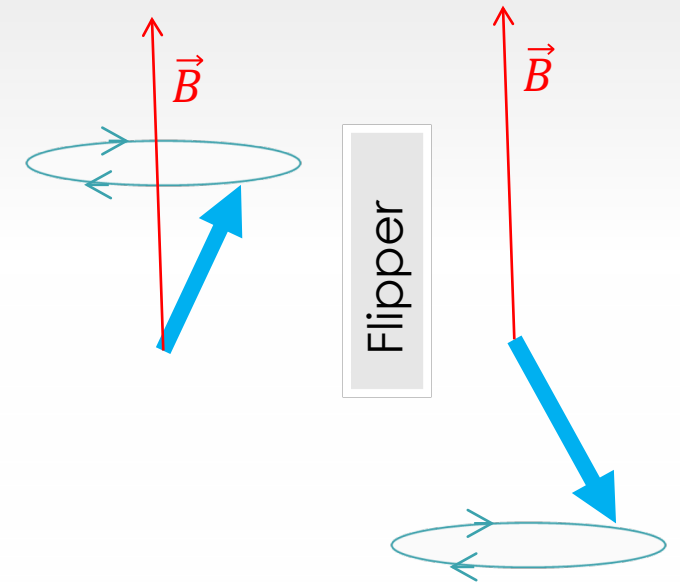
$$\gamma = -1.833E4 \text{ rad/Gauss-sec}$$

- Frequency  $\omega$  is INDEPENDENT of polar angle  $\varphi$  between applied field and moment
- Magnetic 'guide' fields keep  $\vec{\mu}$  either aligned or anti-aligned with respect to  $\vec{B}$ 
  - Keeps  $\omega$  fast while changing direction of  $\vec{B}$  slowly



## Flippers

- Optionally invert the neutron spin-state with respect to the ambient guide field



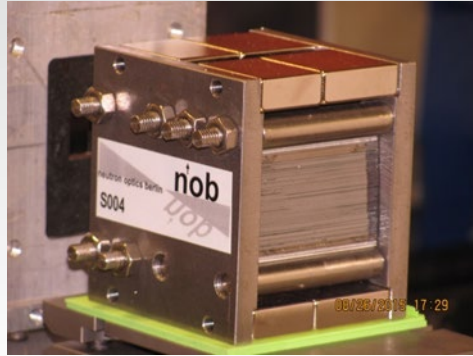
# Polarization optics: ingredients for P. Configurations

## Filters

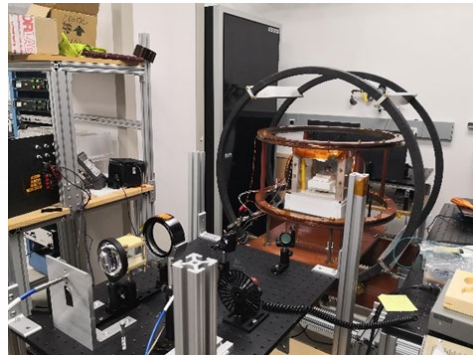
Heusler crystal



Polarizing Supermirror



Nuclear-polarized  $^3\text{He}$

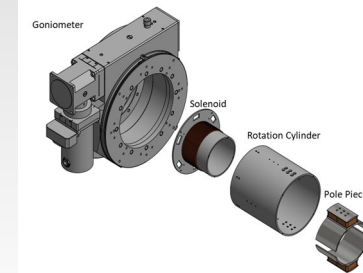


## Guide fields and nutators

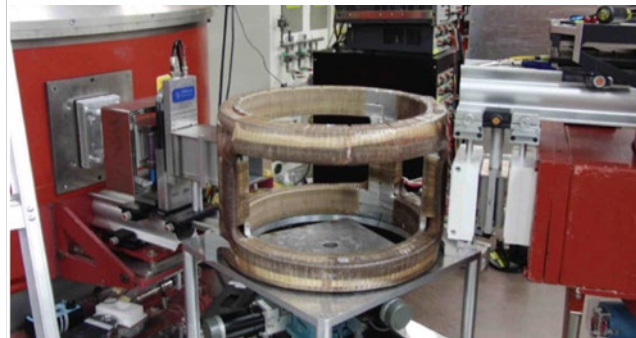
Permanent Magnet Yoked Assemblies



Rotatable nutator



3D Coils



## Flippers

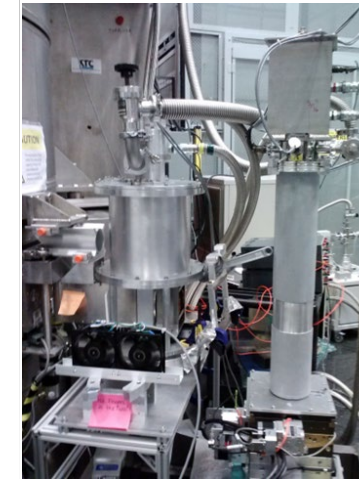
Mezei



Radio-Frequency



Cryogenic (Meissner screen)



Adiabatic Fast Passage /w  $^3\text{He}$

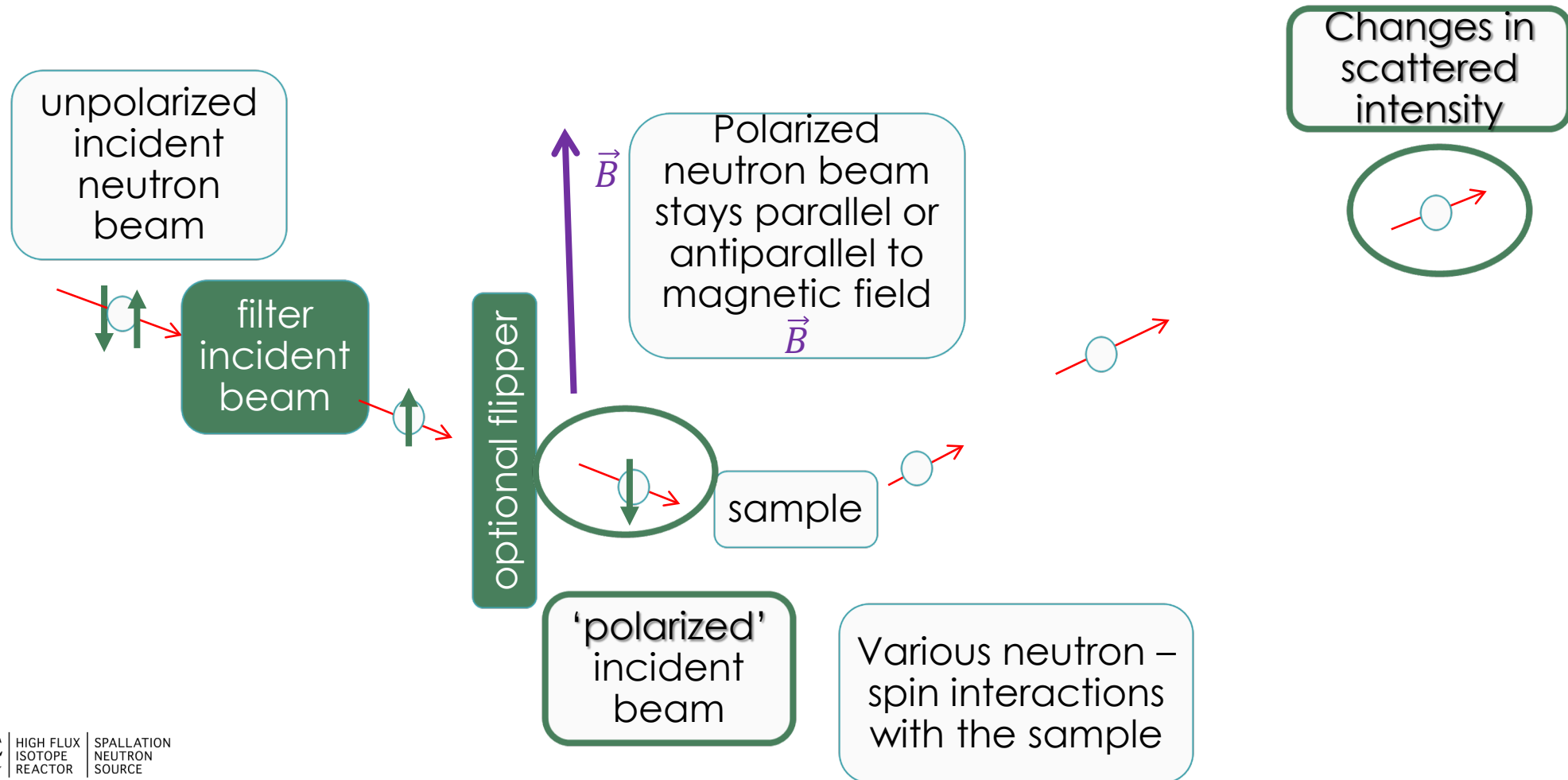




# Configuration: Half Polarized

$$I = N^\dagger N + I_{si} + \mathbf{M}_\perp^\dagger \mathbf{M}_\perp + \mathbf{P} \cdot \mathbf{M}_\perp^\dagger N + \mathbf{P} \cdot \mathbf{M}_\perp N^\dagger + i\mathbf{P} \cdot (\mathbf{M}_\perp^\dagger \times \mathbf{M}_\perp)$$

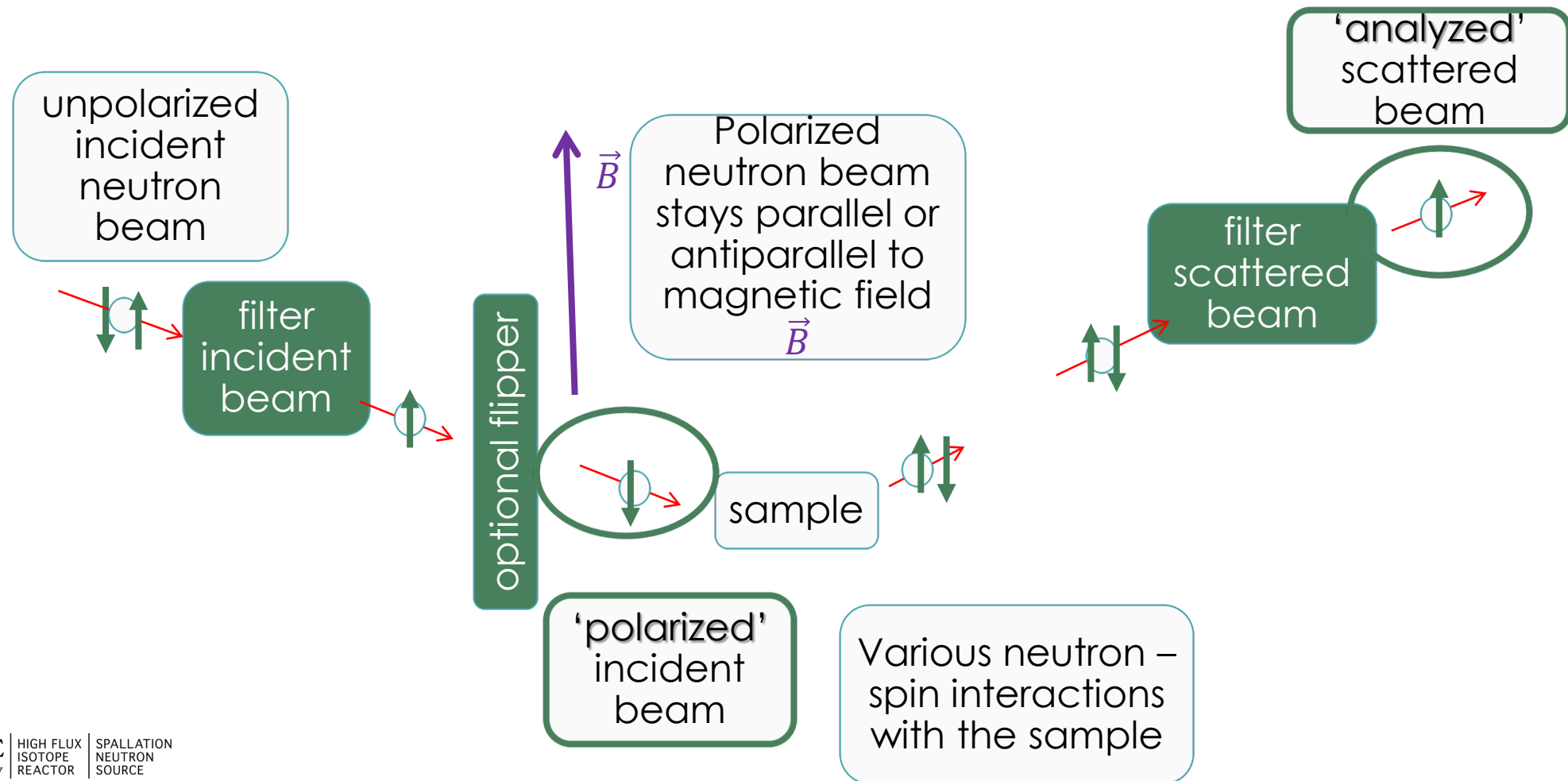
$$P^\dagger I = P \left( N^\dagger N - \frac{1}{3} I_{si} \right) + (P \cdot \mathbf{M}_\perp^\dagger) \mathbf{M}_\perp + (P \cdot \mathbf{M}_\perp) \mathbf{M}_\perp^\dagger - P(\mathbf{M}_\perp^\dagger \mathbf{M}_\perp) + iN(P \times \mathbf{M}_\perp^\dagger) - iN^\dagger(P \times \mathbf{M}_\perp) + N\mathbf{M}_\perp^\dagger + N^\dagger \mathbf{M}_\perp - i(\mathbf{M}_\perp^\dagger \times \mathbf{M}_\perp)$$



# Configuration: Longitudinal 1

$$I = N^\dagger N + I_{si} + \mathbf{M}_\perp^\dagger \mathbf{M}_\perp + \mathbf{P} \cdot \mathbf{M}_\perp^\dagger N + \mathbf{P} \cdot \mathbf{M}_\perp N^\dagger + i\mathbf{P} \cdot (\mathbf{M}_\perp^\dagger \times \mathbf{M}_\perp)$$

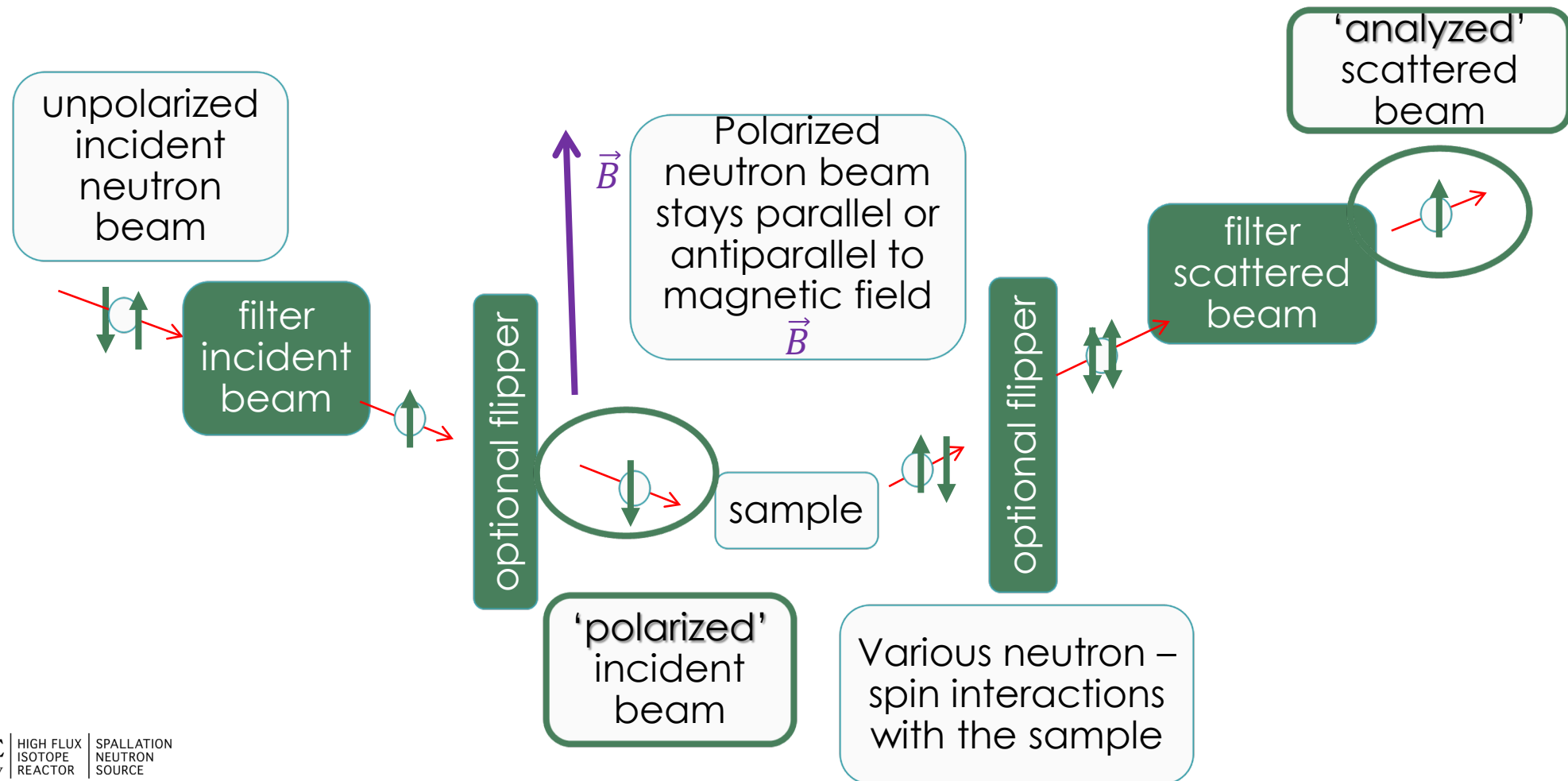
$$\mathbf{P}^\dagger I = \mathbf{P} \left( N^\dagger N - \frac{1}{3} I_{si} \right) + (\mathbf{P} \cdot \mathbf{M}_\perp^\dagger) \mathbf{M}_\perp + (\mathbf{P} \cdot \mathbf{M}_\perp) \mathbf{M}_\perp^\dagger - \mathbf{P} (\mathbf{M}_\perp^\dagger \mathbf{M}_\perp) + iN(\mathbf{P} \times \mathbf{M}_\perp^\dagger) - iN^\dagger(\mathbf{P} \times \mathbf{M}_\perp) + N \mathbf{M}_\perp^\dagger + N^\dagger \mathbf{M}_\perp - i(\mathbf{M}_\perp^\dagger \times \mathbf{M}_\perp)$$



# Configuration: Longitudinal 2

$$I = N^\dagger N + I_{si} + \mathbf{M}_\perp^\dagger \mathbf{M}_\perp + \mathbf{P} \cdot \mathbf{M}_\perp^\dagger N + \mathbf{P} \cdot \mathbf{M}_\perp N^\dagger + i\mathbf{P} \cdot (\mathbf{M}_\perp^\dagger \times \mathbf{M}_\perp)$$

$$P^\dagger I = P \left( N^\dagger N - \frac{1}{3} I_{si} \right) + (P \cdot \mathbf{M}_\perp^\dagger) \mathbf{M}_\perp + (P \cdot \mathbf{M}_\perp) \mathbf{M}_\perp^\dagger - P(\mathbf{M}_\perp^\dagger \mathbf{M}_\perp) + iN(P \times \mathbf{M}_\perp^\dagger) - iN^\dagger(P \times \mathbf{M}_\perp) + N\mathbf{M}_\perp^\dagger + N^\dagger \mathbf{M}_\perp - i(\mathbf{M}_\perp^\dagger \times \mathbf{M}_\perp)$$



# Complementarity between polarized neutron scattering -&- X-ray magnetic circular dichroism

- In addition to the other pro's & con's comparing neutrons & x-rays...

## Polarized neutrons

polarized diffraction goes  
beyond element specificity to  
lattice-site-specific moment  
measurements

Not element specific in general  
when it comes to magnetism

Relatively direct measurement  
of magnetism

Indirectly could leverage  
neutron isotope specificity,  
possibly with coherent  
interference effects

## X-ray dichroism

Element specificity near  
absorption edges, & small  
sample sensitivity due to  
resonant enhancement

Access to magnetism when x-  
rays excite an electron that  
contributes to magnetism  
(transition metals -d electrons  
→L x-ray edges)

Calculation gymnastics

Potentially separate magnetism  
into orbital and spin moments



See Poster after this lecture



# Coming at neutron spin from another angle...

- Enhanced resolution via Larmor techniques
  - Enhanced diffraction
  - Enhanced spectroscopy
  - Enhanced in weird directions in Q-E space



# How to prepare for a polarized neutron experiment

- Reach out to polarized instrument staff
  - For help preparing your 'polarization application statement'
  - For identifying configuration
  - For differences compared to unpolarized experiments (longer, increased # of measurements, etc.)
  - For preparing your proposal
  - For sample preparation (may need a smaller sample, well centered, etc.)



## Explore further during NXS

Demonstration experiment **N2** leverages the **Longitudinal 1** configuration at HYSPEC

Demonstration experiment **N20** leverages the **Longitudinal 2** configuration at the Magnetism Reflectometer

## For future reading

- Several dissertations
  - See instrument-specific publication lists
- Various online slide decks and tutorials
  - Kathryn Krycka, 'Neutron Polarization' slides & video at <https://neutrons.ornl.gov/nxs/2021/lectures>
  - Ross Stewart, [https://www.oxfordneutronschool.org/2011/lectures/osns\\_stewart\\_polarised\\_2011.pdf](https://www.oxfordneutronschool.org/2011/lectures/osns_stewart_polarised_2011.pdf)
  - Werner Schweika, [https://juser.fz-juelich.de/record/20415/files/C6\\_Schweika.pdf](https://juser.fz-juelich.de/record/20415/files/C6_Schweika.pdf)
- Books / chapters
  - Tapan Chatterji (ed.), *Neutron Scattering from Magnetic Materials* (2006) / several chapters
  - Stephen W Lovesey, *Theory of Neutron Scattering from Condensed Matter V2* (1984) / ch 10
  - G. Shirane, SM Shapiro, JM Tranquada, *Neutron Scattering with a Triple Axis Spectrometer* (2002) / ch 8

## Active development and community

- Semi annual meetings / proceedings of PNCMI (polarized neutrons for condensed matter investigations)
  - Proceedings from 2016: <https://iopscience.iop.org/issue/1742-6596/862/1>
  - Proceedings from 2018: <https://iopscience.iop.org/issue/1742-6596/1316/1>
  - Proceedings from 2022: <https://iopscience.iop.org/issue/1742-6596/2481/1>
- Aspirations & new directions at ORNL & NCSR
  - Just ask!
- Actively building user community via training workshops



# Conclusion



Now go out to the poster session and discover how your specific research might benefit!

